

**291K**

**Deep Learning for Machine Translation  
Recurrent Neural Network  
Sequence to sequence learning**

Lei Li

UCSB

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# Outline

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- Language Modeling
- Recurrent Neural Network
- Long-short term memory network (LSTM)
- Gated Recurrent Unit (GRU)
- Attention
- Encoder-decoder framework
- LSTM Seq2seq

# Language Modeling

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- Given a sentence  $y$ , estimate the probability

- $P(y) = \prod_t P(y_{t+1} | y_1 \dots y_t)$

- $P(y_{t+1} | y_1 \dots y_t) = f_\theta(y_1, \dots, y_t)$

The cat sits on a     
 $y_1 \quad y_2 \quad y_3 \quad y_4 \quad y_5 \quad y_6$

	$p(y_6   y_1, \dots, y_5)$
mat	0.15
rug	0.13
chair	0.08
hat	0.05
dog	0.01

# Predict Next Token Probability

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There are many methods to predict the next token:

- N-gram: assuming  $p(x_t | x_1, \dots, x_{t-1}) = p(x_t | x_{t-k}, \dots, x_{t-1})$ ,  
and estimate it directly
- Context MLP: use DNN to estimate  $p(x_t | x_{t-k}, \dots, x_{t-1})$
- CNN-LM (previous lecture)
- RNN-LM, LSTM, GRU
- GPT

# CNN Language Model (recap)

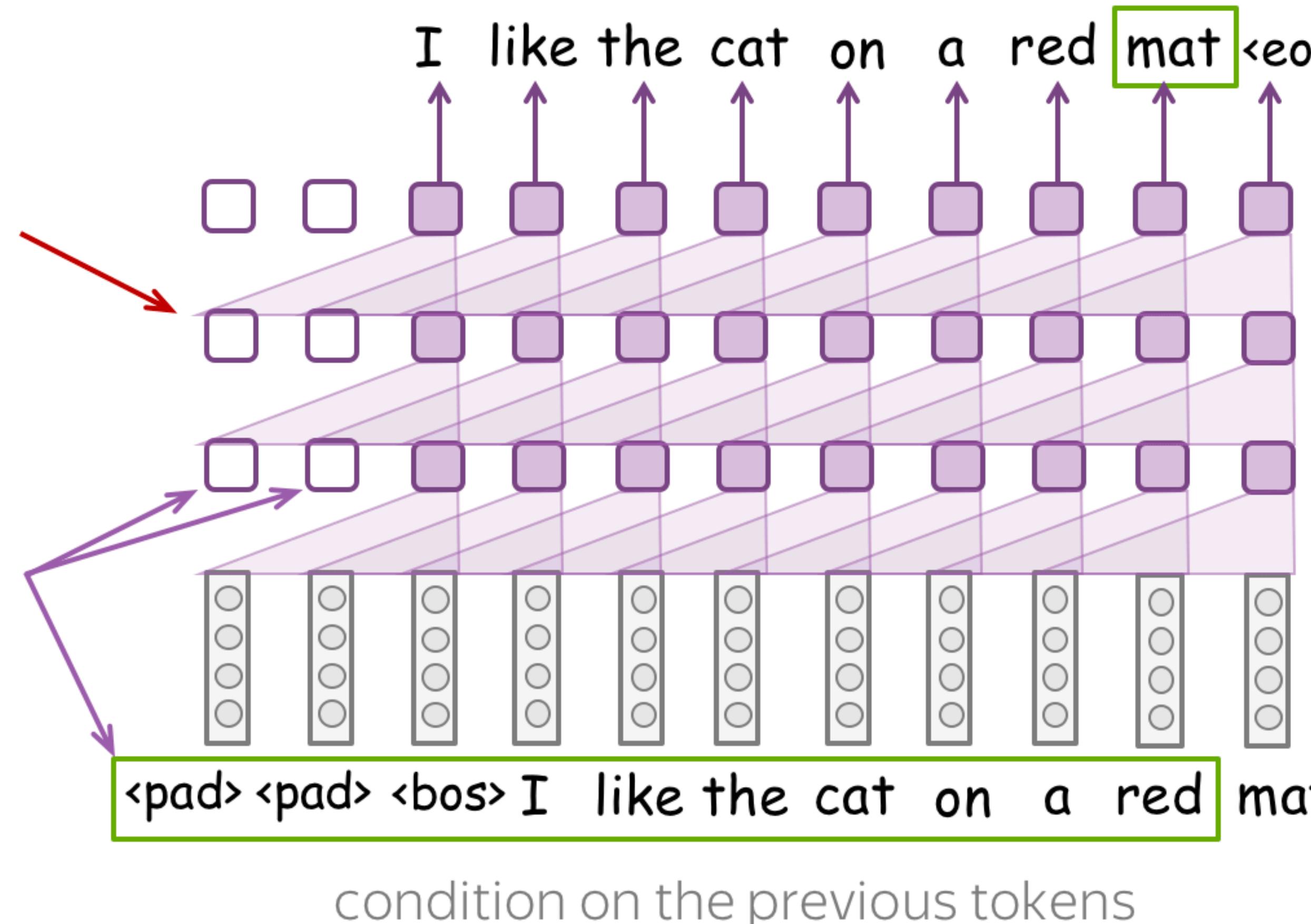
$$P(y_{t+1} | y_1, \dots, y_t) \approx \text{CNN}_{\theta}(y_{t-k}, \dots, y_t)$$

predict the next token

But,  
limited context

No pooling between convolutions: do not want to lose positional information

Padding to shift tokens: we need to prevent information flow from future tokens



# Limitation of CNN-LM

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- CNN-LM only has a fixed-length receptive field
  - probability of next token only dependent on a fixed-size context
- But sentences are of variable length
- How to handle sentences with variable length?
- Idea:
  - adding memory to network
  - adaptive updating memory

# Recurrent Memory

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- Introduce memory representation
- RNN-LM: use RNN to estimate

$$p(x_t \mid x_1, \dots, x_{t-1}) = \text{softmax}(W \cdot h_t)$$

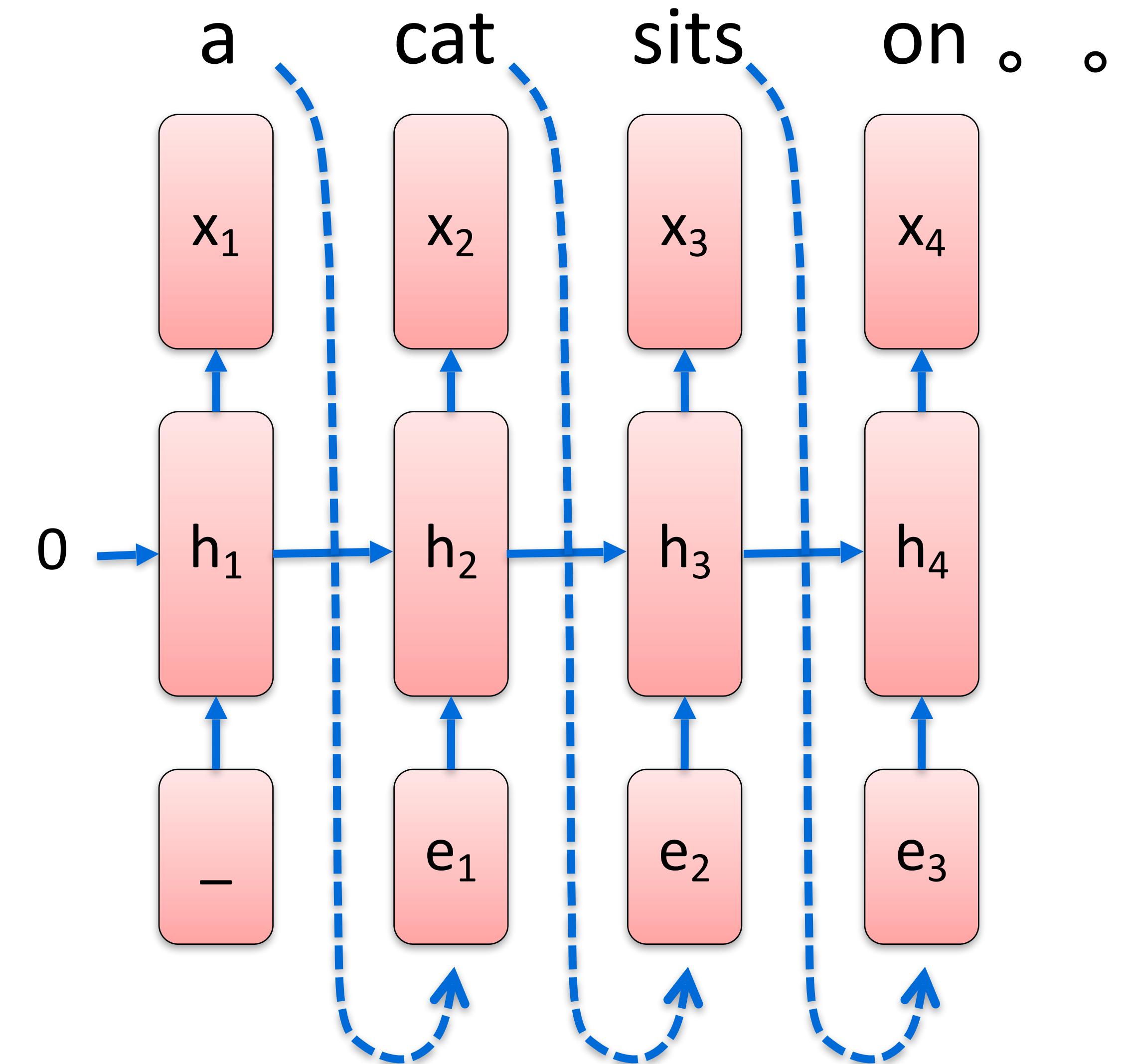
$$h_t = RNN(h_{t-1}, Emb(x_{t-1}))$$

- RNN cell can be
  - Simple feedforward neural network
  - Long-short term memory
  - Gated recurrent units

# Recurrent Neural Network

$$p(x_t | x_1, \dots, x_{t-1}) = \text{softmax}(U \cdot h_t)$$

$$h_t = \sigma\left(W \cdot \begin{bmatrix} h_{t-1} \\ x_t \end{bmatrix} + b\right)$$



# Training RNN-LM

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- Risk:
  - Loss: cross-entropy for every next-token given prefix context
  - $\text{CE}(x_{t+1}, f(x_1, \dots, x_t))$
- SGD
  - Calculate gradient: Back-propogation through time (BPTT)
  - $\nabla E_t$

# Exercise: Gradient for RNN

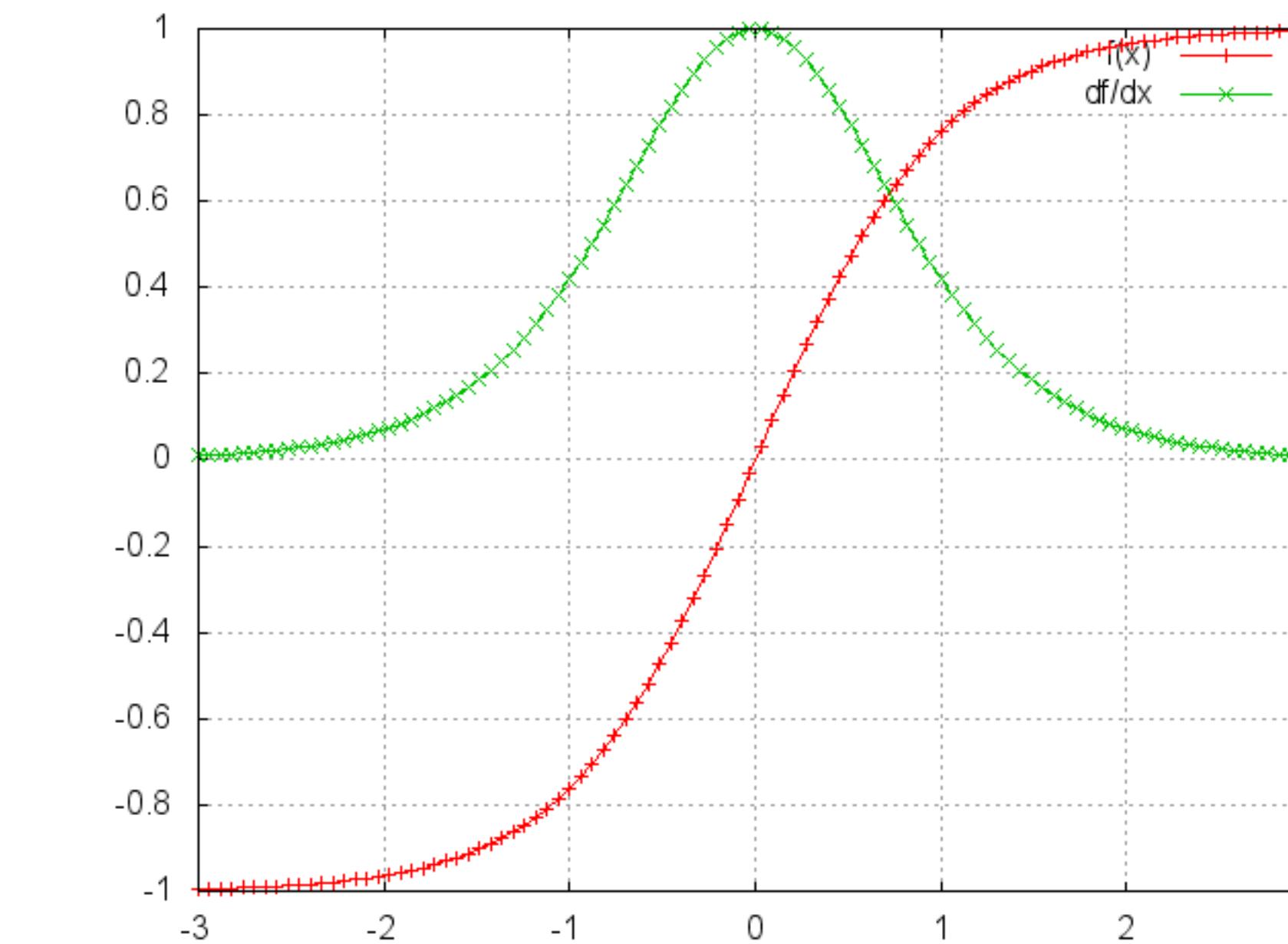
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# Back-propagation for RNN (python)

```
1 def bptt(self, x, y):
2     T = len(y)
3     # Perform forward propagation
4     o, s = self.forward_propagation(x)
5     # We accumulate the gradients in these variables
6     dLdU = np.zeros(self.U.shape)
7     dLdV = np.zeros(self.V.shape)
8     dLdW = np.zeros(self.W.shape)
9     delta_o = o
10    delta_o[np.arange(len(y)), y] -= 1.
11    # For each output backwards...
12    for t in np.arange(T)[::-1]:
13        dLdV += np.outer(delta_o[t], s[t].T)
14        # Initial delta calculation: dL/dz
15        delta_t = self.V.T.dot(delta_o[t]) * (1 - (s[t]**2))
16        # Backpropagation through time (for at most self.bptt_truncate steps)
17        for bptt_step in np.arange(max(0, t-self.bptt_truncate), t+1)[::-1]:
18            # Add to gradients at each previous step
19            dLdW += np.outer(delta_t, s[bptt_step-1])
20            dLdU[:,x[bptt_step]] += delta_t
21            # Update delta for next step dL/dz at t-1
22            delta_t = self.W.T.dot(delta_t) * (1 - s[bptt_step-1]**2)
23    return [dLdU, dLdV, dLdW]
```

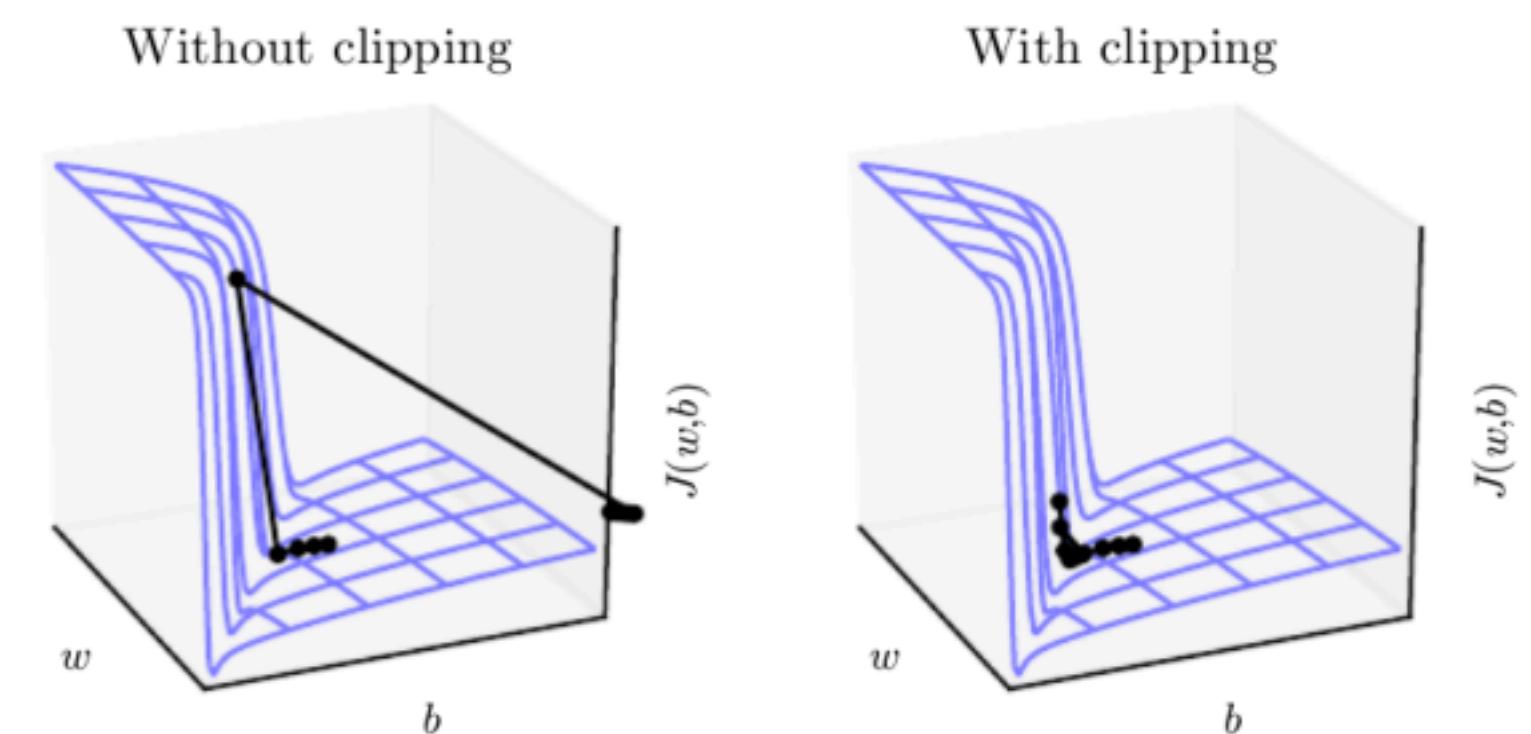
# Computational Issue: Gradient Vanishing

- tanh has derivative close to zero at both ends



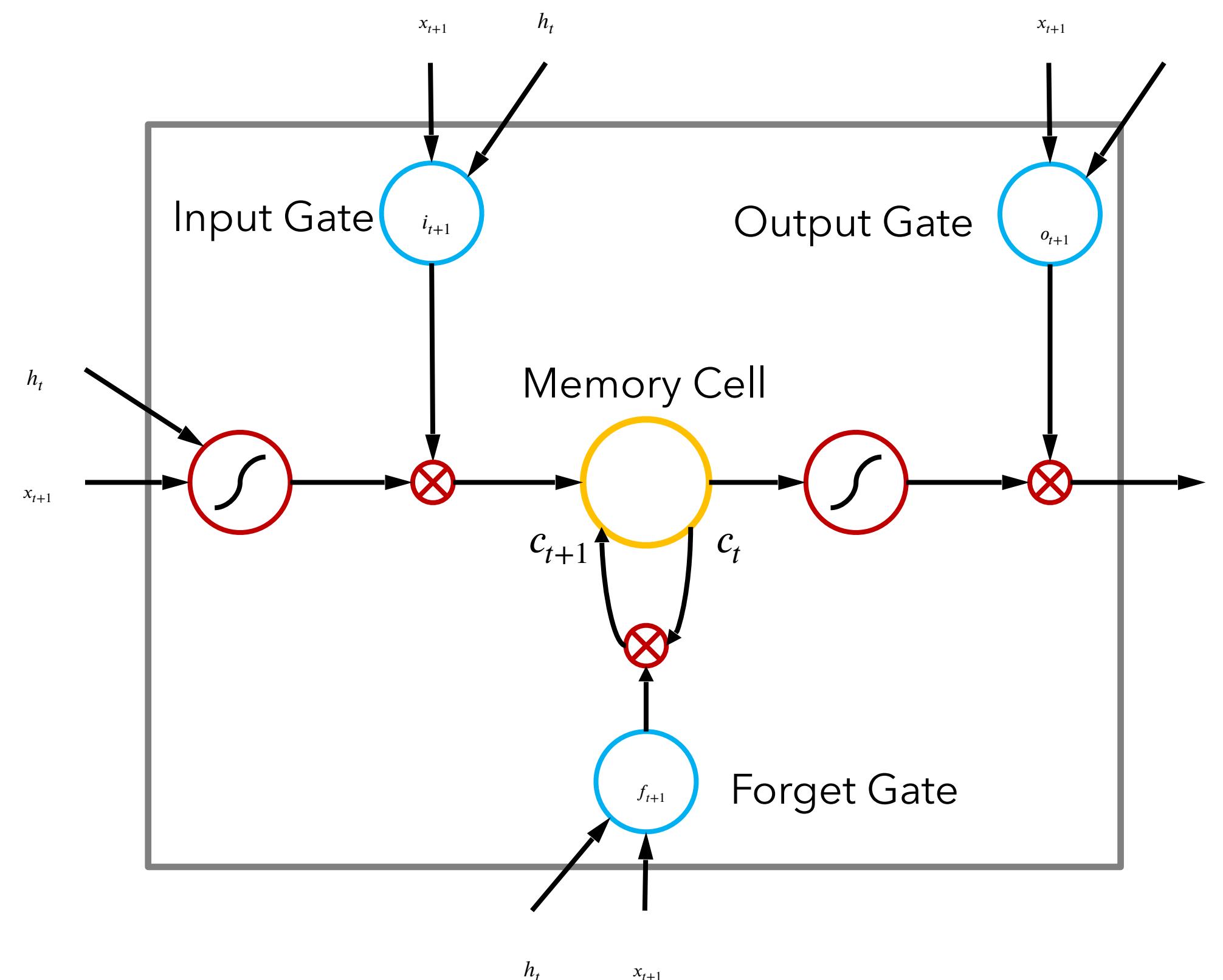
# Gradient Exploding

- Use gradient clipping
  - Two options: clip by absolute value or rescale norm
  - if  $|g| > \eta$ ,  $\hat{g} \leftarrow \eta$
  - if  $|g| > \eta$ ,  $\hat{g} \leftarrow \frac{\eta}{|g|}g$



# Long-Short Term Memory (LSTM)

- Replace cell with more advanced one
- Adaptively memorize short and long term information



$$i_{t+1} = \sigma(M_{ix}x_{t+1} + M_{ih}h_t + b_i)$$

$$f_{t+1} = \sigma(M_{fx}x_{t+1} + M_{fh}h_t + b_f)$$

$$o_{t+1} = \sigma(M_{ox}x_{t+1} + M_{oh}h_t + b_o)$$

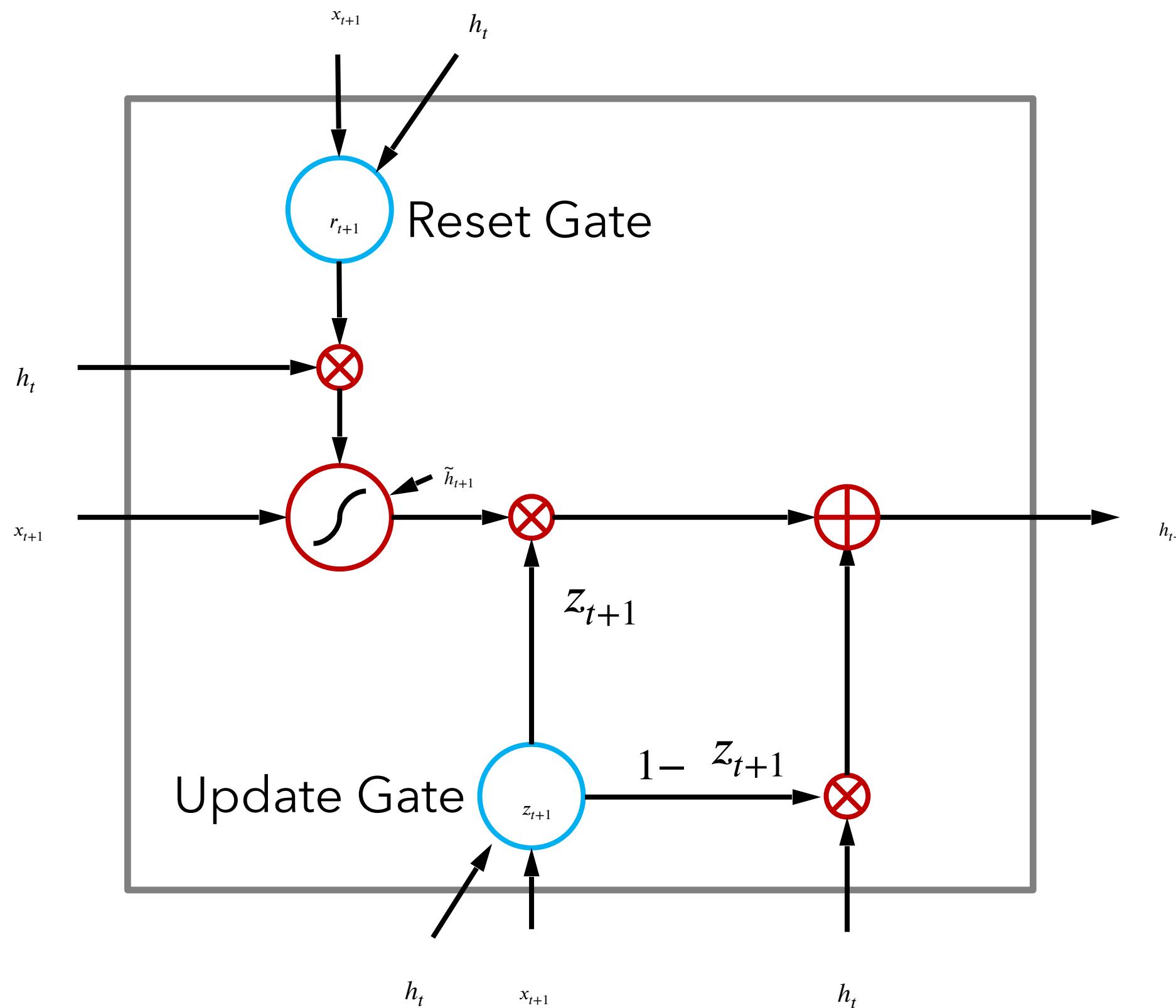
$$a_{t+1} = \tanh(M_{cx}x_{t+1} + M_{ch}h_t + b_a)$$

$$c_{t+1} = f_{t+1} \otimes c_t + i_{t+1} \otimes a_{t+1}$$

$$h_{t+1} = o_{t+1} \otimes \tanh(c_{t+1})$$

# Gated Recurrent Unit (GRU)

- Adaptively memorize short and long term information
- like LSTM, but fewer parameters



Input:  $x_t$

Memory:  $h_t$

$$r_{t+1} = \sigma(M_{rx}x_{t+1} + M_{rh}h_t + b_r)$$

$$z_{t+1} = \sigma(M_{zx}x_{t+1} + M_{zh}h_t + b_z)$$

$$\tilde{h}_{t+1} = \tanh(M_{hx}x_{t+1} + M_{hh}(r_{t+1} \otimes h_t) + b_h)$$

$$h_{t+1} = z_{t+1} \otimes \tilde{h}_{t+1} + (1 - z_{t+1}) \otimes h_t$$

# Sequence Labelling using LSTM (Pytorch)

```
class LSTMTagger(nn.Module):

    def __init__(self, embedding_dim, hidden_dim, vocab_size, tagset_size):
        super(LSTMTagger, self).__init__()
        self.hidden_dim = hidden_dim

        self.word_embeddings = nn.Embedding(vocab_size, embedding_dim)

        # The LSTM takes word embeddings as inputs, and outputs hidden states
        # with dimensionality hidden_dim.
        self.lstm = nn.LSTM(embedding_dim, hidden_dim)

        # The linear layer that maps from hidden state space to tag space
        self.hidden2tag = nn.Linear(hidden_dim, tagset_size)

    def forward(self, sentence):
        embeds = self.word_embeddings(sentence)
        lstm_out, _ = self.lstm(embeds.view(len(sentence), 1, -1))
        tag_space = self.hidden2tag(lstm_out.view(len(sentence), -1))
        tag_scores = F.log_softmax(tag_space, dim=1)
        return tag_scores
```

# Training in Pytorch

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```
model = LSTMTagger(EMBEDDING_DIM, HIDDEN_DIM, len(word_to_ix), len(tag_to_ix))
loss_function = nn.NLLLoss()
optimizer = optim.SGD(model.parameters(), lr=0.1)

# See what the scores are before training
# Note that element  $i,j$  of the output is the score for tag  $j$  for word  $i$ .
# Here we don't need to train, so the code is wrapped in torch.no_grad()
with torch.no_grad():
    inputs = prepare_sequence(training_data[0][0], word_to_ix)
    tag_scores = model(inputs)
    print(tag_scores)

for epoch in range(300): # again, normally you would NOT do 300 epochs, it is toy data
    for sentence, tags in training_data:
        # Step 1. Remember that Pytorch accumulates gradients.
        # We need to clear them out before each instance
        model.zero_grad()

        # Step 2. Get our inputs ready for the network, that is, turn them into
        # Tensors of word indices.
        sentence_in = prepare_sequence(sentence, word_to_ix)
        targets = prepare_sequence(tags, tag_to_ix)

        # Step 3. Run our forward pass.
        tag_scores = model(sentence_in)

        # Step 4. Compute the loss, gradients, and update the parameters by
        # calling optimizer.step()
        loss = loss_function(tag_scores, targets)
        loss.backward()
        optimizer.step()
```

# Testing in Pytorch

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```
# See what the scores are after training
with torch.no_grad():
    inputs = prepare_sequence(training_data[0][0], word_to_ix)
    tag_scores = model(inputs)
```

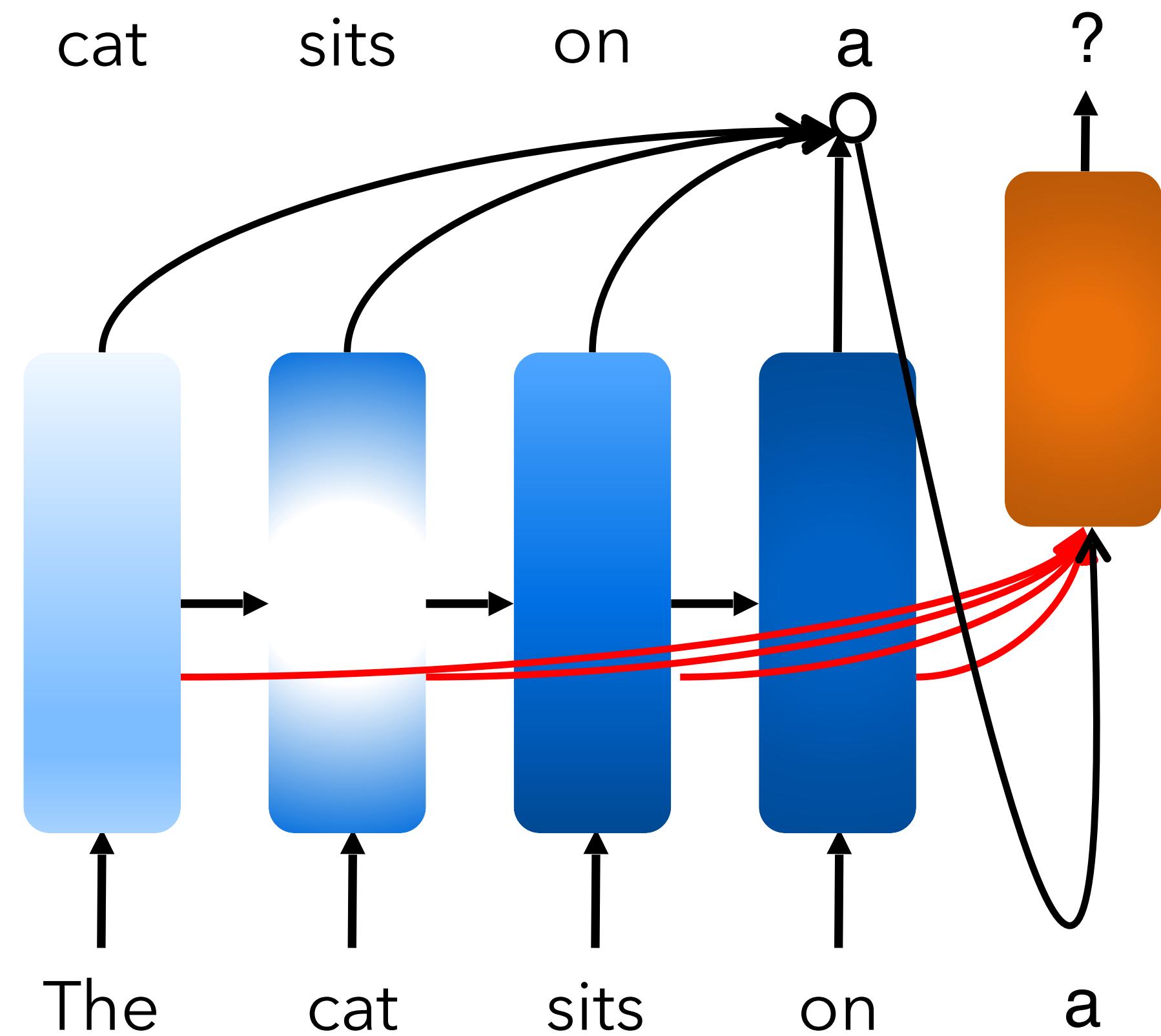
# Expressive power of RNN-LM

$$\text{Perplexity: } PPL = P(x_1, \dots, x_N)^{-\frac{1}{N}} = \exp\left(-\frac{1}{N} \sum_{n=1}^N \log P(x_n | x_1 \dots x_{n-1})\right)$$

MODEL	TEST PERPLEXITY	NUMBER OF PARAMS [BILLIONS]
SIGMOID-RNN-2048 (JI ET AL., 2015A)	68.3	4.1
INTERPOLATED KN 5-GRAM, 1.1B N-GRAMS (CHELBA ET AL., 2013)	67.6	1.76
SPARSE NON-NEGATIVE MATRIX LM (SHAZEER ET AL., 2015)	52.9	33
RNN-1024 + MAXENT 9-GRAM FEATURES (CHELBA ET AL., 2013)	51.3	20
LSTM-512-512	54.1	0.82
LSTM-1024-512	48.2	0.82
LSTM-2048-512	43.7	0.83
LSTM-8192-2048 (NO DROPOUT)	37.9	3.3
LSTM-8192-2048 (50% DROPOUT)	32.2	3.3
2-LAYER LSTM-8192-1024 (BIG LSTM)	30.6	1.8
BIG LSTM+CNN INPUTS	<b>30.0</b>	<b>1.04</b>
BIG LSTM+CNN INPUTS + CNN SOFTMAX	39.8	0.29
BIG LSTM+CNN INPUTS + CNN SOFTMAX + 128-DIM CORRECTION	35.8	0.39
BIG LSTM+CNN INPUTS + CHAR LSTM PREDICTIONS	47.9	0.23

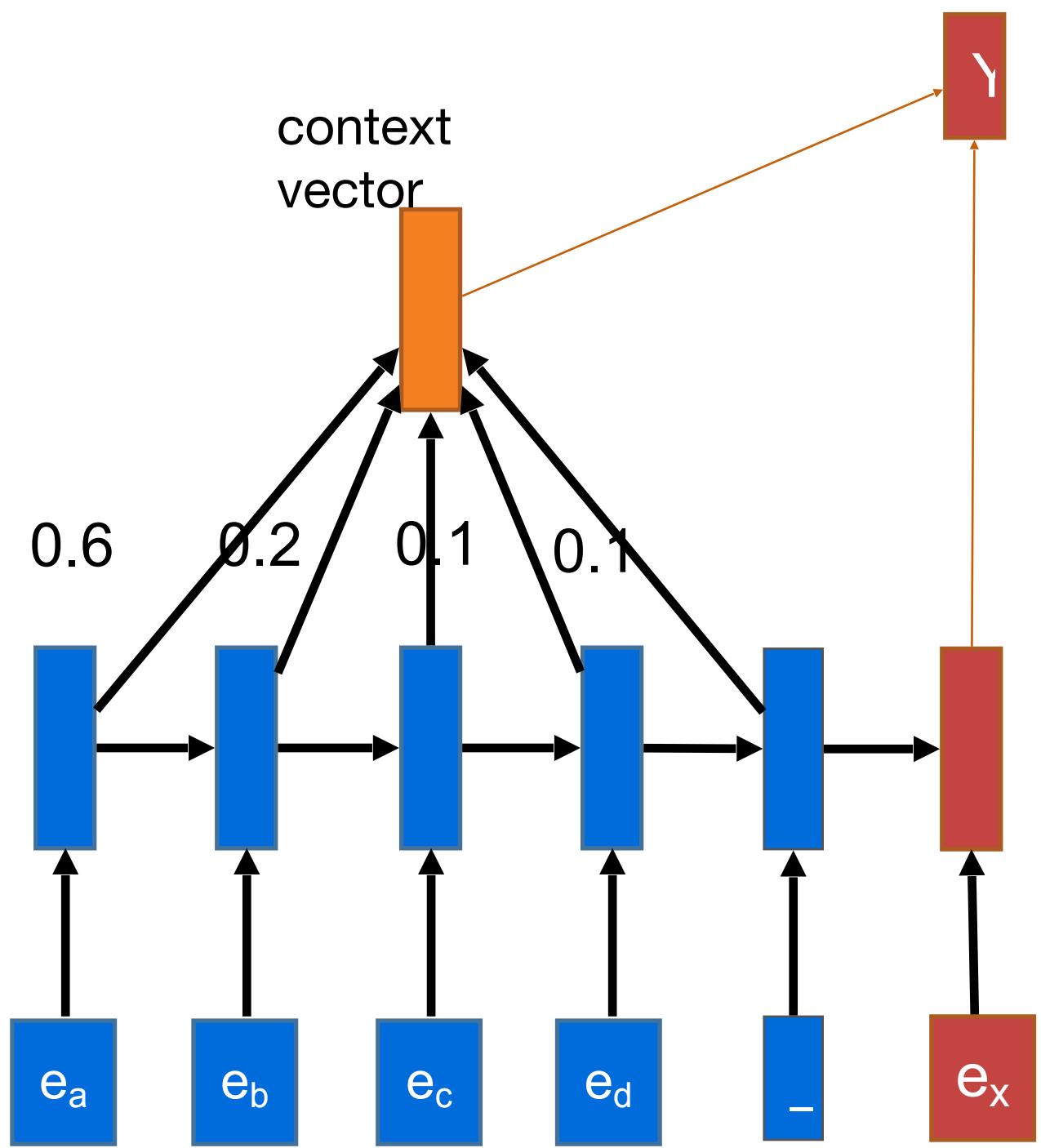
# Attention

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# Generation by Attention

A **context vector  $c$**  will be predicted before, which represents the related source context for current predicted word.



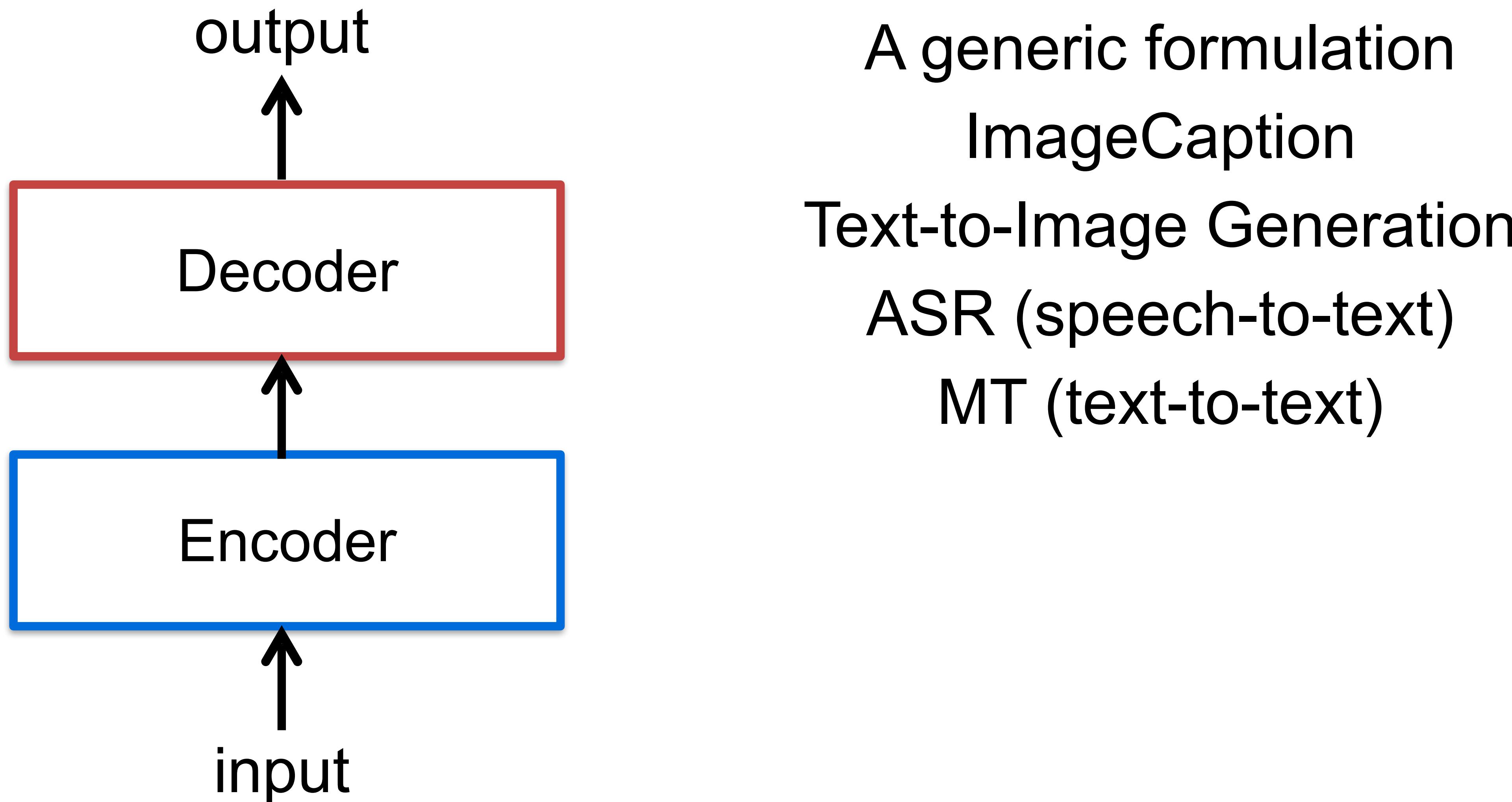
$$\alpha_{nj} = \text{Softmax}(D(s_n, h_{1\dots n-1})) = \frac{\exp(D(s_n, h_j))}{\sum_k \exp(D(s_n, h_k))}$$

$$c_n = \sum_j \alpha_{nj} h_j$$

The probability of word  $y_i$  is computed as:

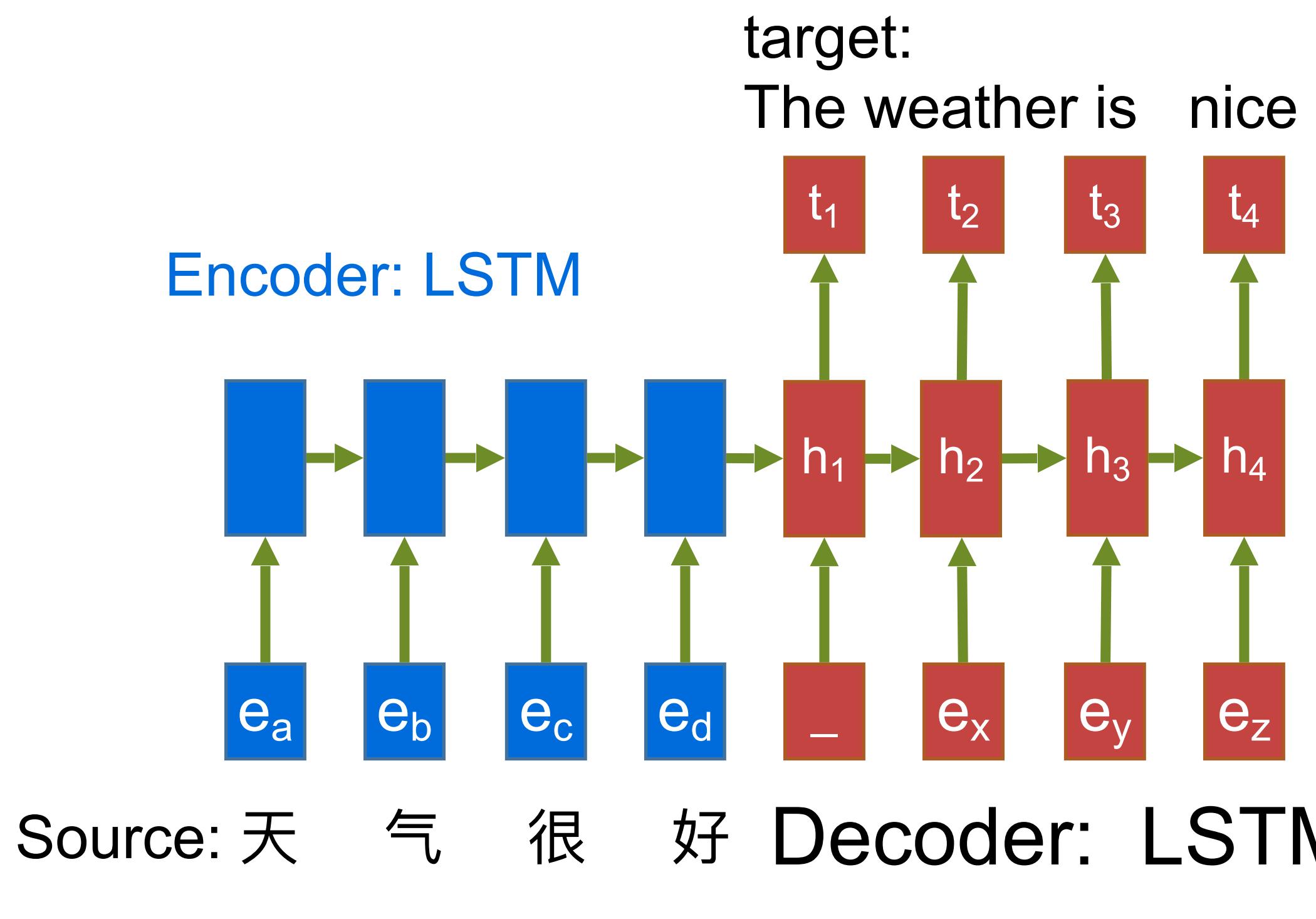
$$p(y_i) \propto \exp(Wh_i) \quad \Rightarrow \quad p(y_i) \propto \exp(Wh_i + Vc_i)$$

# Encoder-decoder framework



# Sequence To Sequence (Seq2seq)

- Machine translation as directly learning a function mapping from source sequence to target sequence



$$P(Y|X) = \prod P(y_t | y_{<t}, x)$$

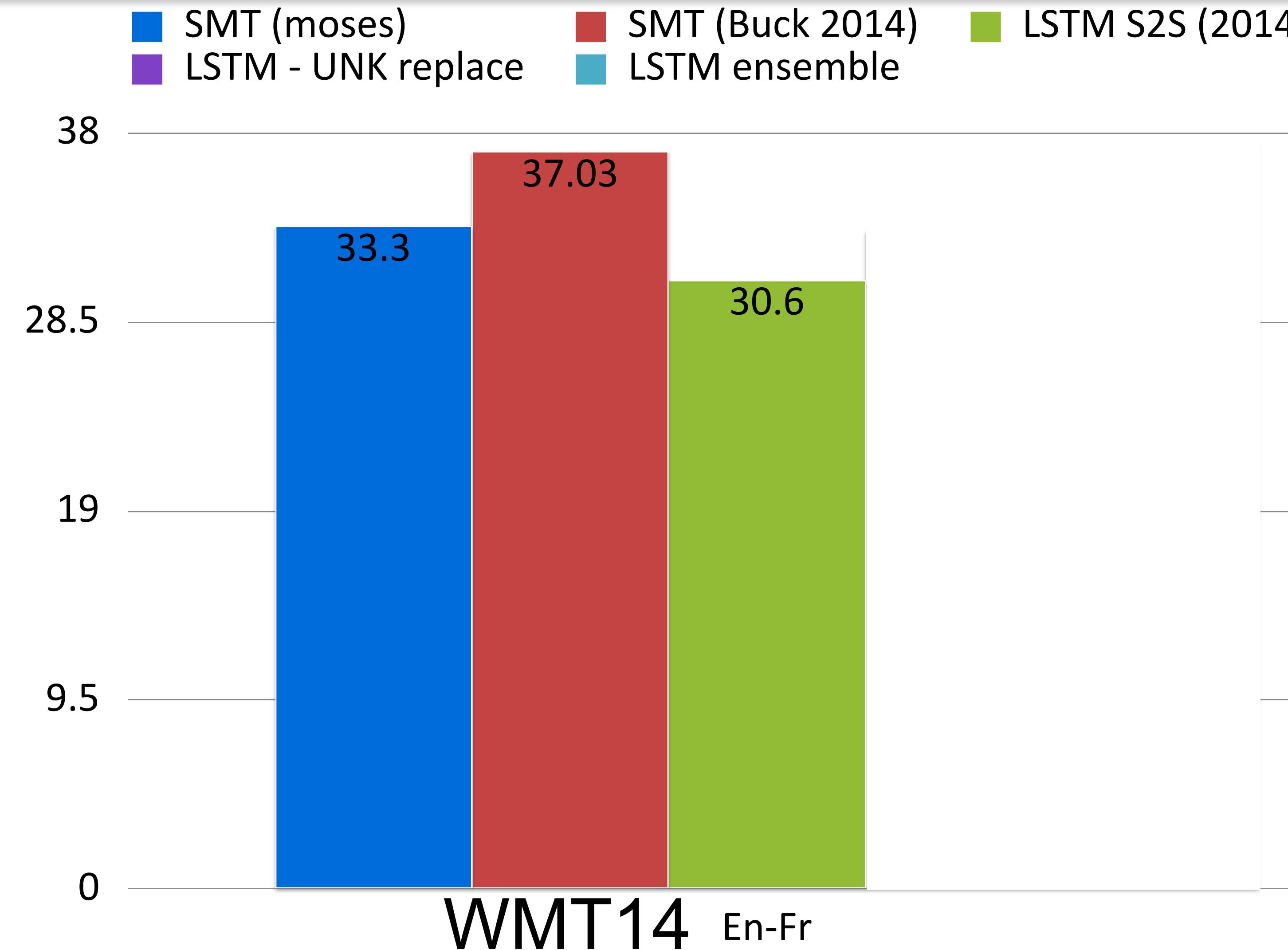
Training loss: Cross-Entropy

$$l = - \sum_n \sum_t \log f_\theta(x_n, y_{n,1}, \dots, y_{n,t-1})$$

Teacher-forcing during training.

(pretend to know groundtruth for prefix)

# Performance (2014)

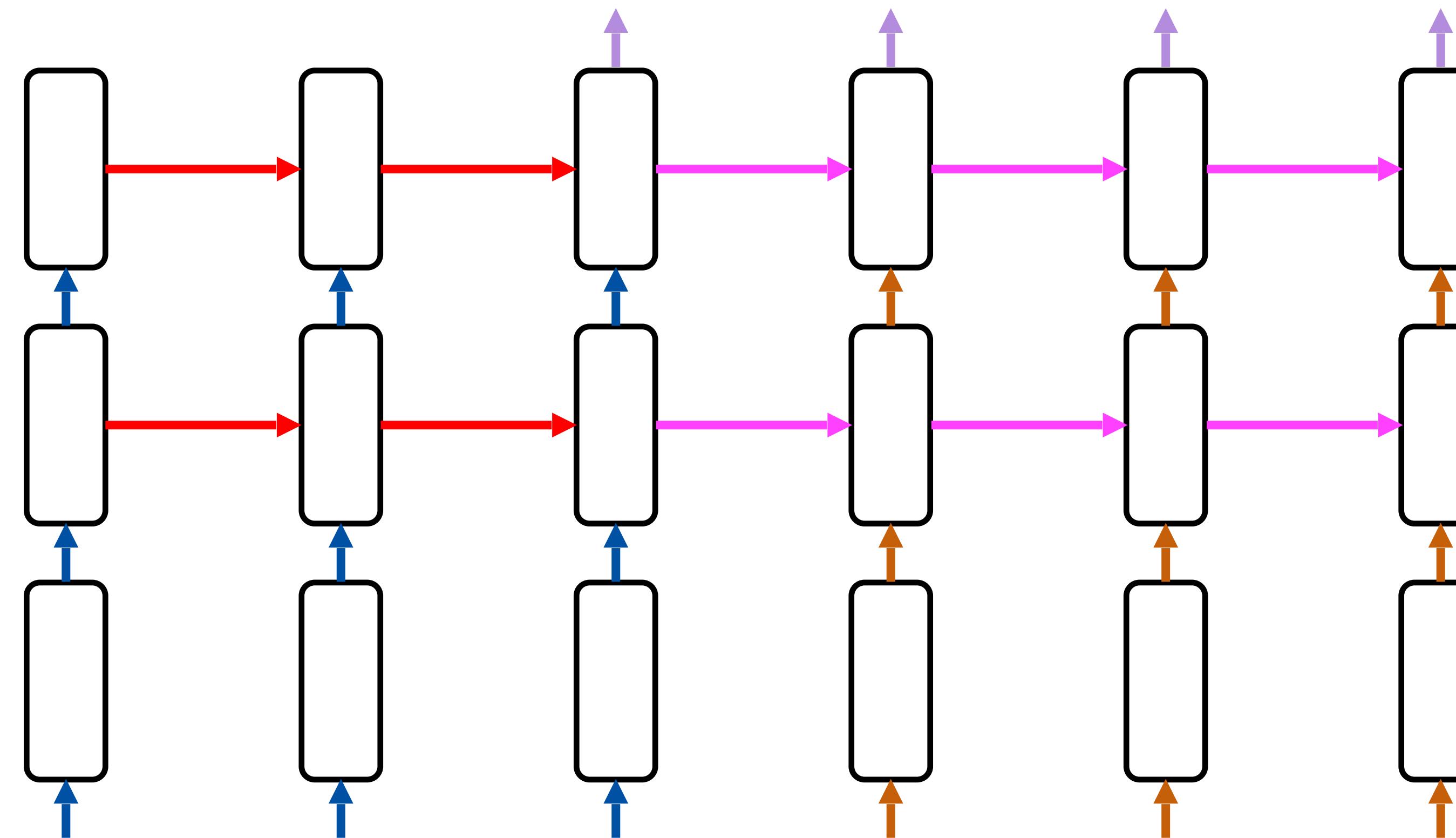


Sutskever et al. Sequence to Sequence Learning with Neural Networks. 2014

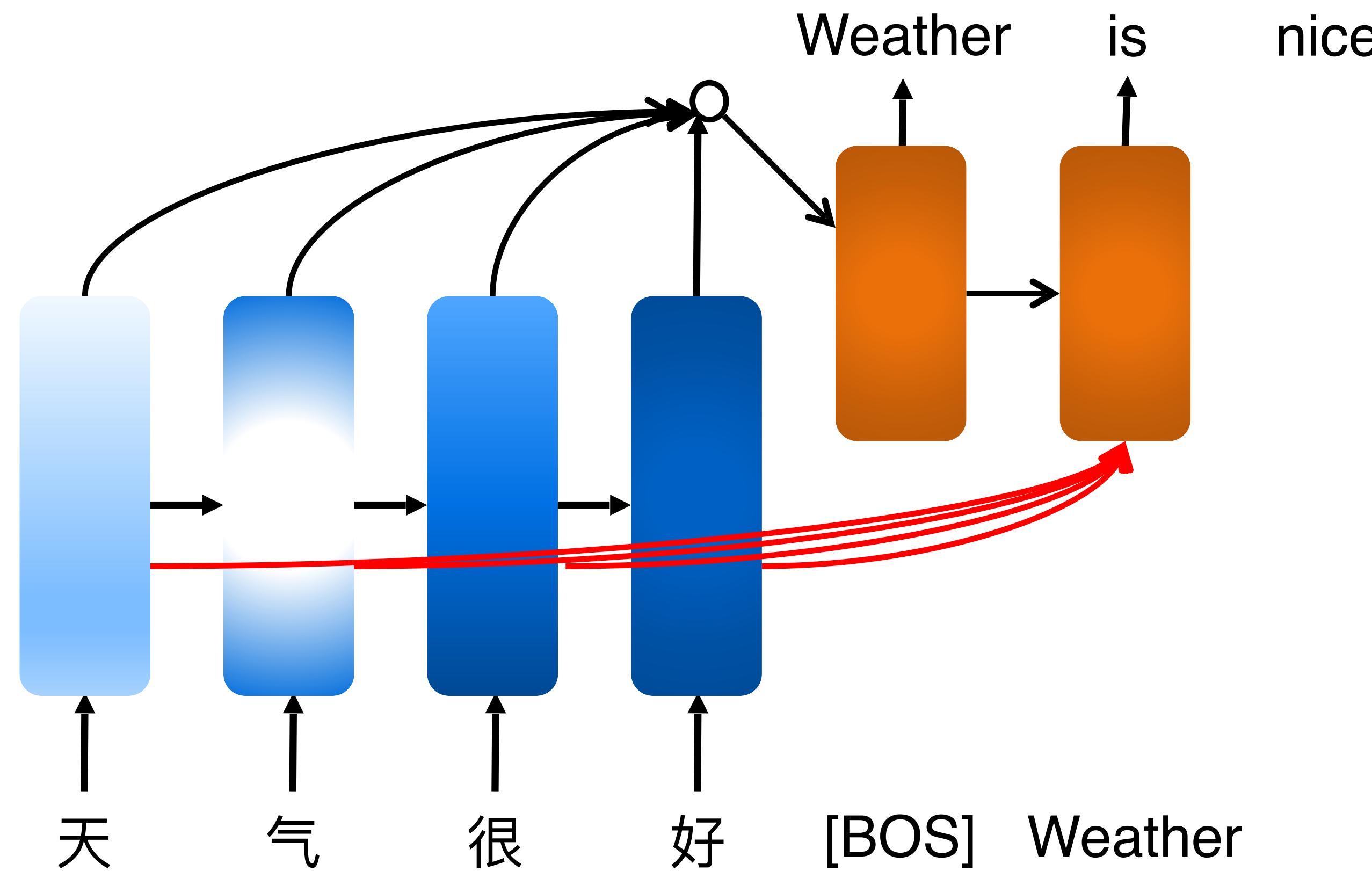
Durrani et al. Edinburgh's Phrase-based Machine Translation Systems for WMT-14. 2014

# Stacked LSTM for seq-2-seq

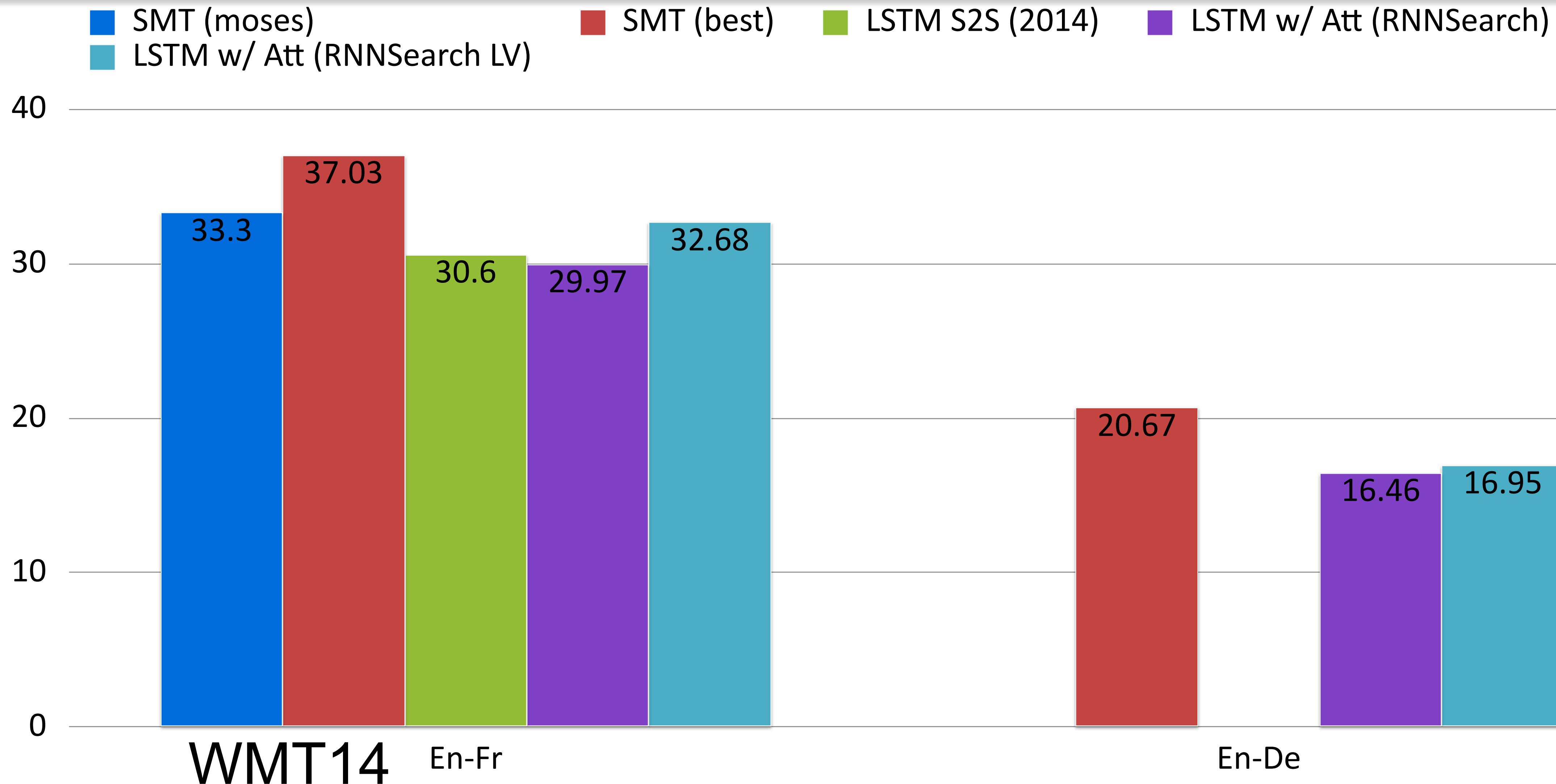
- More layers of LSTM



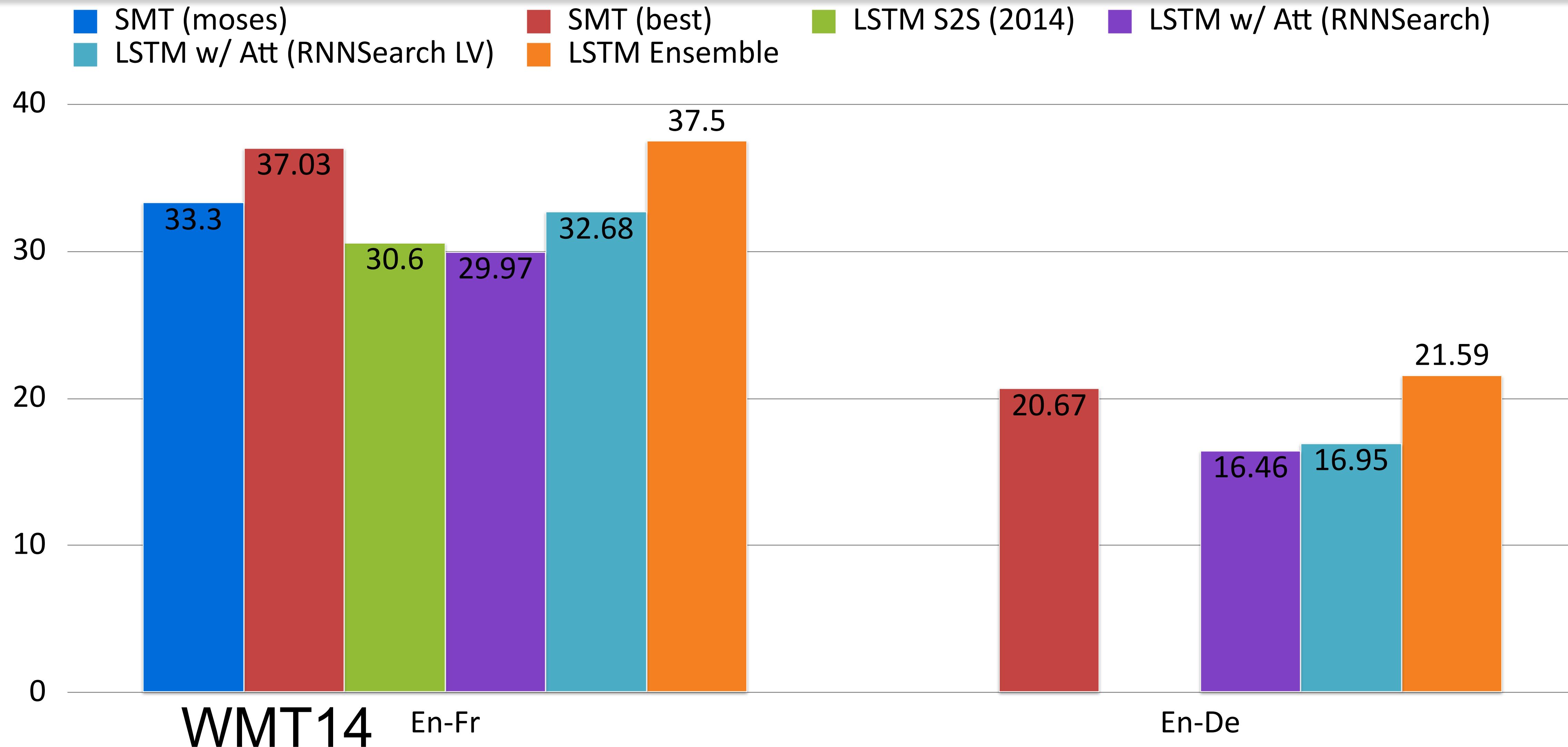
# LSTM Seq2seq with Attention



# LSTM Seq2Seq w/ Attention



# Performance with Model Ensemble



# Reading

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- Gers et al. Learning to Forget: Continual Prediction with LSTM. 2000
- Sutskever et al. Sequence to Sequence Learning with Neural Networks. 2014
- Bahdanau et al., Neural Machine Translation by Jointly Learning to Align and Translate. 2015
- Luong et al. Effective Approaches to Attention-based Neural Machine Translation. 2015