# **291K Deep Learning for Machine Translation Advanced Vocabulary Learning**

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# **Vocabulary is Fundamental and Important**





#### How to construct the optimal vocabulary?







# How to find the optimal vocabulary? Q1: How to efficiently evaluate vocabularies? Q2: How to efficiently find the optimal one?



Vocabulary



# Q1: How to evaluate vocabulary?





#### **Sub-word vocabulary is the dominant choice**

\* With normal-size data



#### Word level

is enlisting 5,000	drivers	in	the	country
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#### Char level

g	e	r	i	S	Ο	r	e	g	•••
---	---	---	---	---	---	---	---	---	-----

-WC	ord	leve	21					
hich	is	en	listing	5,000	drivers	in	the	country

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# Why is Sub-word (BPE) superior? Theoretically

- Information theory:

  - Compress the message into compact representation fewest bits to represent both sentence and vocabulary – Char-level vocab ==> text sequence will be long

  - Word-level vocab ==> vocab will be large and still OOV
- Entropy:
  - how much information in each token
- Intuition:
  - Reduced entropy (bits-per-char) ==> Better Vocab
  - Even better vocab?





# Information-theoretic Vocabulary Evaluation

- Normalized Entropy – Information-per-char (IPC)

  - It represents Semantic-information-per-char

Token	count
a	200
e	90
C	30
t	30
S	90
$\mathscr{H}(v)$	= 1.37

# $\mathscr{H}(v) = -\frac{1}{l_v} \sum_{i \in v} P(i) \log P(i)$

VS

Smaller IPC is better. Easy to differentiate (therefore easy to generate)

Token	count
a	100
aes	90
cat	30







# Which vocabulary is better? From Size



#### Sub-word level vocabulary with 10K tokens (BPE-10K)

The	most	e	ager	is	Ο	reg	on	which	is	en	listin g	5,000	dr i	vers	in	the	country	
-----	------	---	------	----	---	-----	----	-------	----	----	-------------	-------	---------	------	----	-----	---------	--

#### Sub-word level vocabulary with 30K tokens (BPE-30K)

The	most	e	ager	is	0	reg	on	which	is	en	listing	5,000	drivers	in	the	country	
-----	------	---	------	----	---	-----	----	-------	----	----	---------	-------	---------	----	-----	---------	--

#### From the perspective of size, BPE-1K seems to be better **but longer sequence**

\* With normal-size data





### Which Vocabulary is Better? From information?

#### Sub-word level vocabulary with 1K tokens (BPE-1K)

The	most	e	ag	er	is	Ο	reg	on	which	is	en	li	st	ing	5	0	00	d	ri	ver	S	in	the	coun	Tr	у	
-----	------	---	----	----	----	---	-----	----	-------	----	----	----	----	-----	---	---	----	---	----	-----	---	----	-----	------	----	---	--

#### Sub-word level vocabulary with 10K tokens (BPE-10K)

The	most	e	ager	is	Ο	reg	on	which	is	en	listin g	5,000	dr i	vers	in	the	country
												_					
	Sı	ıb-	word	d le	ve]	VO	cabi	lary	wit	h 3(	OK to	okens	(B	PE-	30k	<b>X</b> )	
The	most	e	ager	is	0	reg	on	which	is	en	listing	5,000	dr	ivers	in	the	country

The	most	e	ager	is	0	reg	on	which	is	en	listin g	5,000	dr i	vers	in	the	country
	Sı	ıb-y	wore	d le	vel	VO	cabi	ulary	wit	h 3(	OK to	okens	<b>(B</b>	PE-	30k	X)	
The	most	e	ager	is	0	reg	on	which	is	en	listing	5,000	dri	vers	in	the	country

#### From the perspective of entropy, BPE-30K seems to be better

\* With normal-size data



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# **Evaluating Vocabulary Quality is Expensive**

#### Which one is better?

#### Full training and testing are required to find the optimal vocabulary!



IPC



Size



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# An analogy: Buying Good with Money

- Value:
- Cost:





# **Utility of Information for Adding Tokens**

- Value: IPC
- Cost: size



- Marginal utility of information for Vocabulary (MUV)
  - How many value does each unit-of-cost bring?

$$M_{v_k \to v_{k+m}} = -\frac{H(v_k) - H(v_{k+m})}{m}$$

- Negative gradients of IPC to size





- ==> best BLEU

# **MUV Indicates MT Performance**

### • MUV and BLEU are correlated on two-thirds of tasks • A good coarse-grained evaluation metric!



Xu, Zhou, Gan, Zheng, Li. Vocabulary Learning via Optimal Transport for Neural Machine Translation. ACL 2021.

1e-5





Goal: finding the optimal vocabulary

Finding the optimal vocabulary

- Naive solution: MUI-Search
  - Exhaustive Search for vocabulary
  - Evaluate MUI for each and find max MUI
- How to search over a huge discrete space?



### Q2: How to find the optimal vocabulary with the maximum MUI?



# **Problem Reduction** • Best BLEU ==> Max MUV ==> Optimal Transport



Min cost to Transport soldiers from bases to frontlines





# **Optimal Transport**



# 24 Easy solution: split the task with proportions

120:90:90 = 4:3:3

## **Optimal Transport**





### Vocabulary building as Transportation of Token Frequency

 Adding one new token means: Transport character frequency to token frequency



Xu, Zhou, Gan, Zheng, Li. Vocabulary Learning via Optimal Transport for Neural Machine Translation. ACL 2021.

#to									
<b>a</b> 16			abc	ac	bc	ab	С	b	а
b 10	Finding the optimal composition	0	0	<u>40</u>	0	0	0	0	<u>160</u>
<b>C</b> 60		0	0	0	0	0	0	<u>100</u>	0
ac 40		0	0	<u>40</u>	0	0	<u>60</u>	0	0

Transport Matrices

**Token Vocab** 









## **VOLT Formulation**

#### Transport chars to tokens







#### Not all tokens can get chars



Xu, Zhou, Gan, Zheng, Li. Vocabulary Learning via Optimal Transport for Neural Machine Translation. ACL  $20\hat{2}^{3}$ .

### **VOLT Formulation**





#### Not all tokens can get chars



Xu, Zhou, Gan, Zheng, Li. Vocabulary Learning via Optimal Transport for Neural Machine Translation. ACL  $20\hat{2}^{4}$ .

### **VOLT Formulation**





#### Not all tokens can get chars



Xu, Zhou, Gan, Zheng, Li. Vocabulary Learning via Optimal Transport for Neural Machine Translation. ACL  $20\hat{2}^{\dagger}$ .

### **VOLT Formulation**



# **Each Transportation Defines a Vocabulary**





# **Reducing MUV Optimization to OT**

- The vocabulary with the maximum MUV
  - Maximum gap between IPC of a vocabulary (with size t) and that of a smaller vocabulary (with size <t)

$$- \max - (H(V_{t+1}) - H(V_t))$$

- Intractable, instead to maximize lower-bound • ==> max(max  $H(V_t) - \max H(V_{t+1}))$
- Finding max H(v) ==> Optimal Transport  $\mathcal{V}$





# **Finding the Transportation Matrix**

• Find the transportation matrix (=vocab) with lowest cost (-MUV)



Transportation matrix P cat at tea

а	20	10	0
С	20	0	0
e	0	0	0
∟ -	20	10	0

Sinkhorn Algorithm [Gabriel Peyré et. al]

Xu, Zhou, Gan, Zheng, Li. Vocabulary Learning via Optimal Transport for Neural Machine Translation. ACL 2029.

Cost matrix D at tea cat

а	1	1	1
С	1	8	$\infty$
е	$\infty$	8	1
t	1	1	8



- VOLT uses a greedy strategy to encode text with a constructed sub-word level vocabulary similar to BPE.
- The vocabulary includes all basic characters.
  - To encode text, it first splits sentences into character-level tokens.
  - Then, we merge two consecutive tokens into one token if the merged one is in the vocabulary.
  - This process keeps running until no tokens can be merged.
  - Out-of-vocabulary tokens will be split into smaller tokens.







### **VOLT finds better vocabulary on Bilingual MT**





### **VOLT finds better vocabulary on Bilingual MT**





### **VOLT finds better vocabulary on Bilingual MT**

#### Transformer architecture









### **VOLT Finds Better Vocabulary on Multilingual MT Transformer architecture** 38 BLEU





#### **VOLT Generalizes Well to Other Architectures**







Xu, Zhou, Gan, Zheng, Li. Vocabulary Learning via Optimal Transport for Neural Machine Translation. ACL 2021. <sup>36</sup>



#### 384 GPU hours

0.5 CPU hours



# Still need to perform one full training

#### Carbon Emission



VOLT-search-eval

**BPE-Search** 

**BPE-Search** 

BLEU

VOLT





# Conclusion

- How to evaluate vocabularies without trial training?
  - Better vocabulary should have less information-per-char (IPC)
  - Better vocabulary should have smaller size
  - MUV metric
- How to efficiently find the optimal vocabulary?
  - Reduce to OT
  - A green vocabulary learning solution



# Code and Blog

- Codes and data are available at:
   <a href="https://github.com/Jingjing-NLP/VOLT">https://github.com/Jingjing-NLP/VOLT</a>
- If you have more questions on paper details, please see our latest paper blog at:
  - <u>https://jingjing-nlp.github.io/volt-blog/</u>







# Language Presentation





### Xu, Zhou, Gan, Zheng, Li. Vocabulary Learning via **Optimal Transport for Neural Machine Translation. ACL** 2021. (ACL best paper)

### **Read List**

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