# Generative AI for Functional Protein Design

Lei Li



#### Can GenAl design molecules with desired functions?

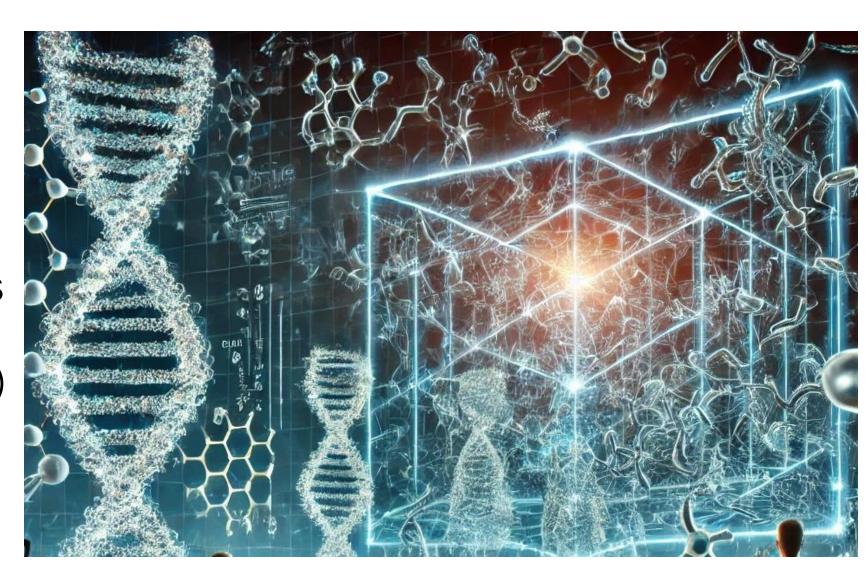
Medicine

Vaccine

Enzyme - Biocatalysts

Biosensors (e.g. GFP)

New materials



## Commonality and Distinction in Language and Molecule Generation

- Modeling
  - Sequence of Discrete Tokens
  - Discrete Structures
  - Geometry (Unique for molecules)
- Training: direct, contrastive, PPO
- Generation
  - Score-conditional Generation
  - Iterative Editing

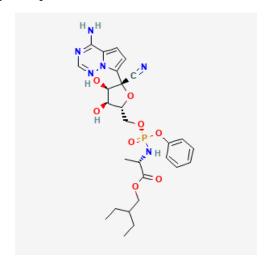
#### Discrete Sequences of Tokens

It was the best of times, it was the worst of times, it was the age of wisdom, it was the age of foolishness, it was the epoch of belief, it was the epoch of incredulity, it was the season of Light, it was the season of Darkness, ...

Remdesivir:  $C_{27}H_{35}N_6O_8P$ 

SMLES representation:

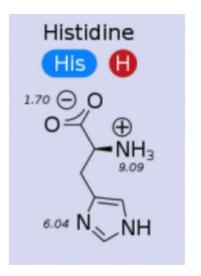
CCC(CC)COC(=0)C(C)NP(=0)(OCC1 C(C(C(O1)(C#N)C2=CC=C3N2N=CN =C3N)O)O)OC4=CC=CC=C4

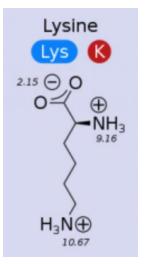


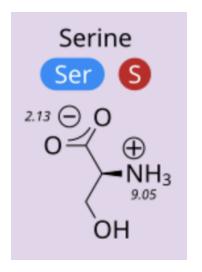
#### From Human Language to Protein Sequence

- Proteins are building blocks of life
- Important biological functions

• sequence of amino acid residues (20 types)

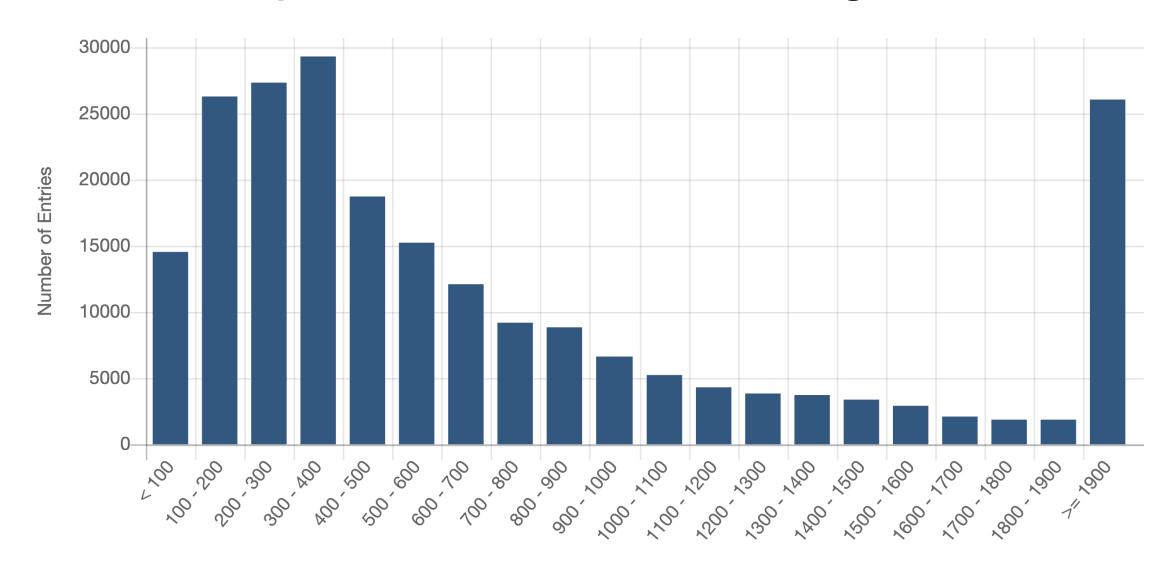




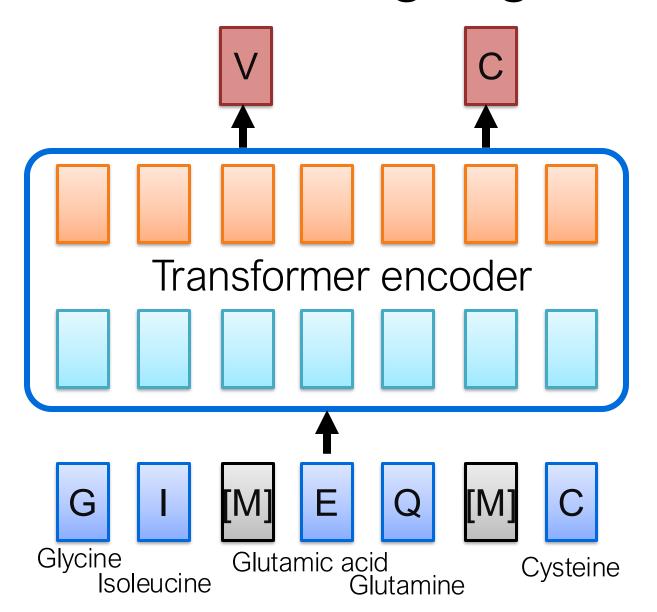


VLLPDNHYLSTQSALSKDPNE KRDHMVLLEFVTAAGIT

#### Protein Sequences are much Longer than Text!



#### Protein Language Model 1: Mask LM



- Using raw protein sequences for pretraining
  - Training loss: predicting masked residues
- ESM [Meier et al 2021] and ESM-2 [Lin et al 2023]

#### Graph Neural Network

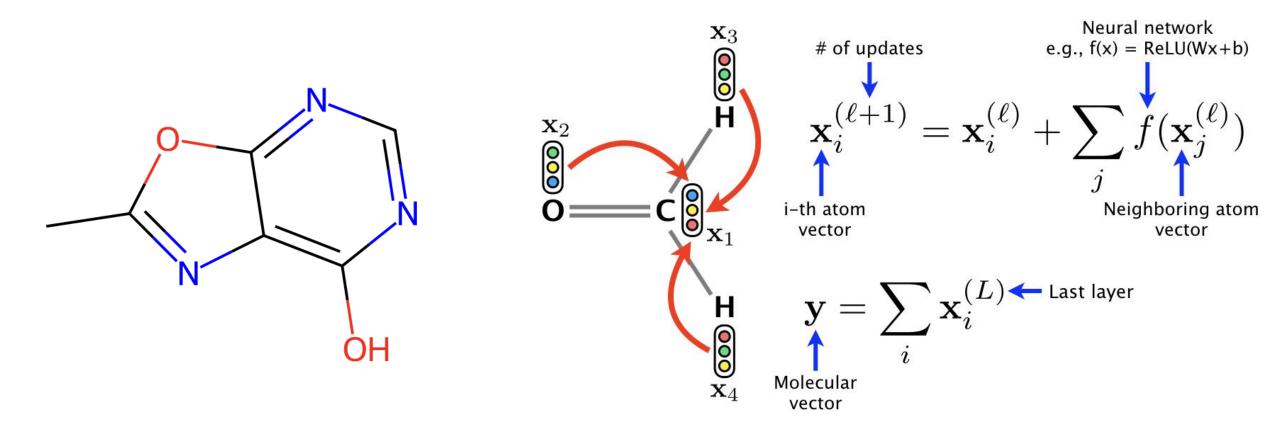
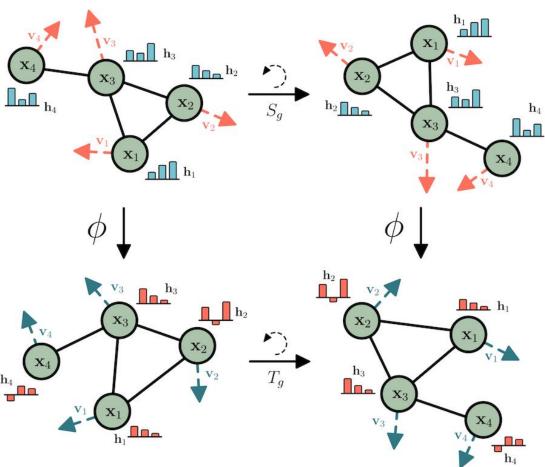


Fig.1: The update function (or called transition, propagation, message passing, and convolution) in GNNs. On a molecular graph, the GNN updates each atom vector with its neighboring atom vectors non-linear transformed by neural network. The molecular vector is obtained by summing (or mean) the atom vectors.

#### Modelling Geometry of Molecules

Equivariant Graph Neural Network (EGNN)



Equivariance:

$$f(x) + z = f(x + z)$$

$$\mathbf{m}_{ij} = \phi_e \left( \mathbf{h}_i^l, \mathbf{h}_j^l, \left\| \mathbf{x}_i^l - \mathbf{x}_j^l \right\|^2, a_{ij} \right)$$

$$\mathbf{x}_{i}^{l+1} = \mathbf{x}_{i}^{l} + C \sum_{j \neq i} \left( \mathbf{x}_{i}^{l} - \mathbf{x}_{j}^{l} \right) \phi_{x} \left( \mathbf{m}_{ij} \right)$$

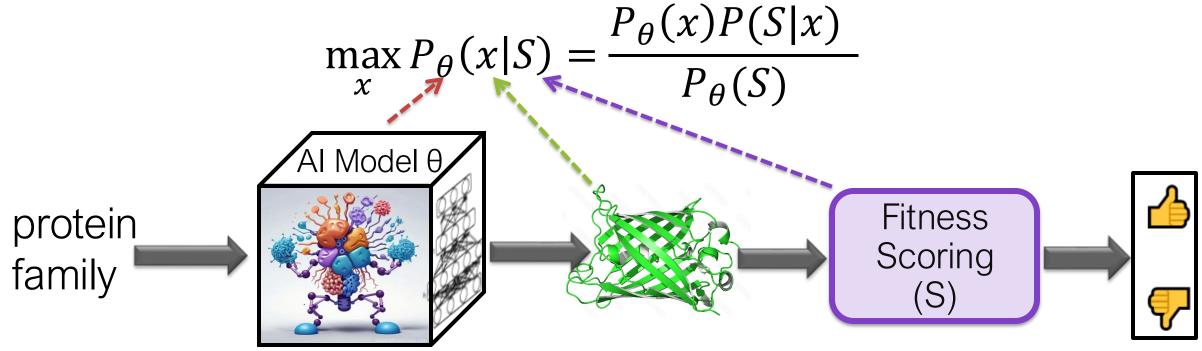
$$\mathbf{m}_i = \sum_{j \neq i} \mathbf{m}_{ij}$$

$$\mathbf{h}_i^{l+1} = \phi_h \left( \mathbf{h}_i^l, \mathbf{m}_i \right)$$

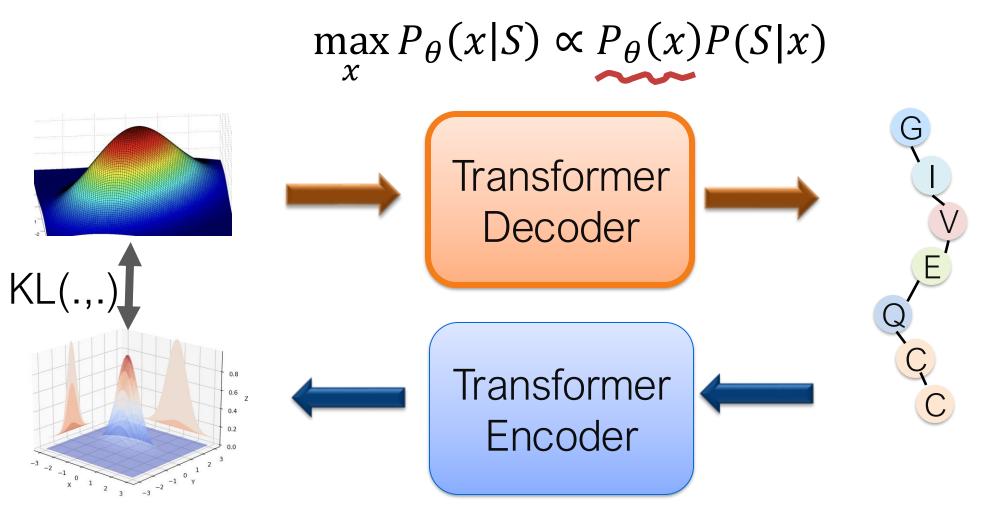
[Satorres et al, 2021.]

#### Guiding Protein Generation with Function Fitness

Fitness functions P(S|x) can be trained using lab data
e.g. Green Fluorescent Protein (avGFP) [Sakisyan et al 2016]

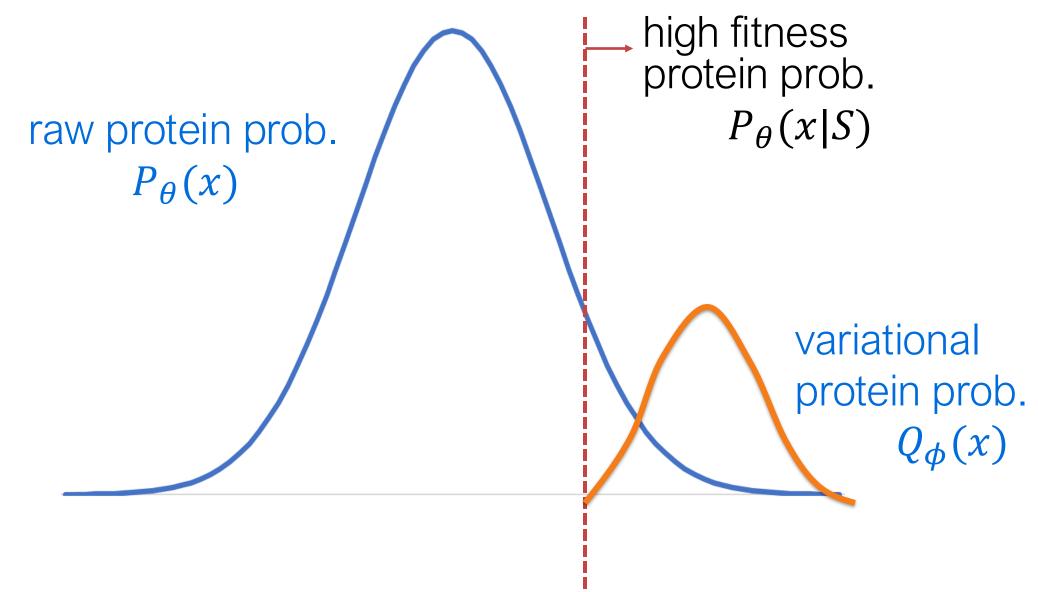


#### Pre-training Protein Generative Model



But the generated proteins will have very low fitness score!

#### IsEMPro: Intuition

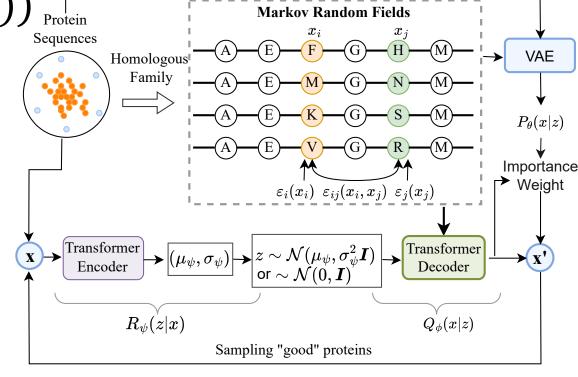


#### IsEMPro Method

- Intuition:
  - o Learning a proposal  $Q_{\phi}(x)$  to approximate distribution of "good" proteins  $P_{\theta}(x|S)$

$$\phi^* = \underset{\phi}{\operatorname{argmax}} -D_{KL}(P_{\theta}(x|S)||Q_{\phi}(x))$$

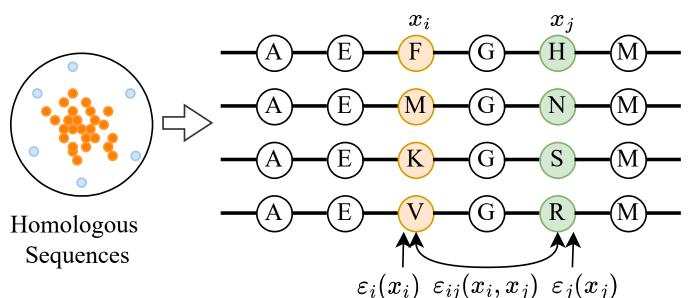
- Model architecture:
  - o two VAEs
  - Augmented with MRF features
- Expectation-Maximization with Importance Sampling (self-learning)



## MRF: Learning the Combinatorial Structures of Amino Acids

- These structure constraints are the results of evolutionary process under nature selection
  - o Favorable amino-acid combinations
  - Guiding model toward higher fitness landscape

#### **Markov Random Field**



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#### Integrating MRF into IsEMPro Generation

MRFs features (i-th residue)

$$\varepsilon_i(x_i) = [\varepsilon_i(x_i), \varepsilon_{i1}(x_i, a_1), \dots, \varepsilon_{iM}(x_i, a_M)]$$
  

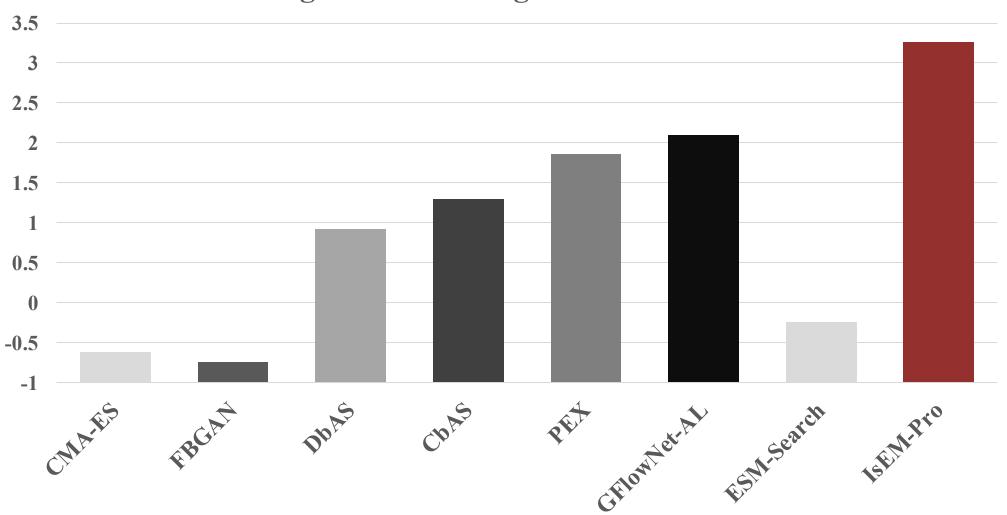
$$\varepsilon_{ij}(x_i, a_j) = [\varepsilon_{ij}(x_i, a_1), \varepsilon_{ij}(x_i, a_2), \dots, \varepsilon_{ij}(x_i, a_{20})]$$

- Transformer decoder (autoregressive)
  - $\circ$  First token input: latent vector (learned)  $H_0 = \tilde{z}$
  - o Other input: combinatorial structure enhanced feature vector

$$H_i = emb(x_{i-1}) + W * \varepsilon_{i-1}(x_{i-1}), 1 \le i \le M$$

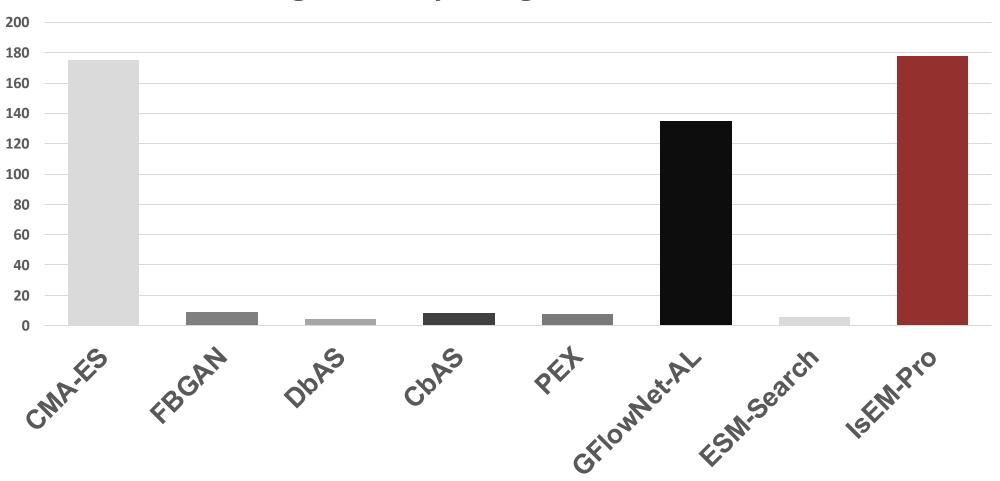
#### IsEM-Pro generates higher-fitness proteins

**Average Fitness on Eight Protein Datasets** 

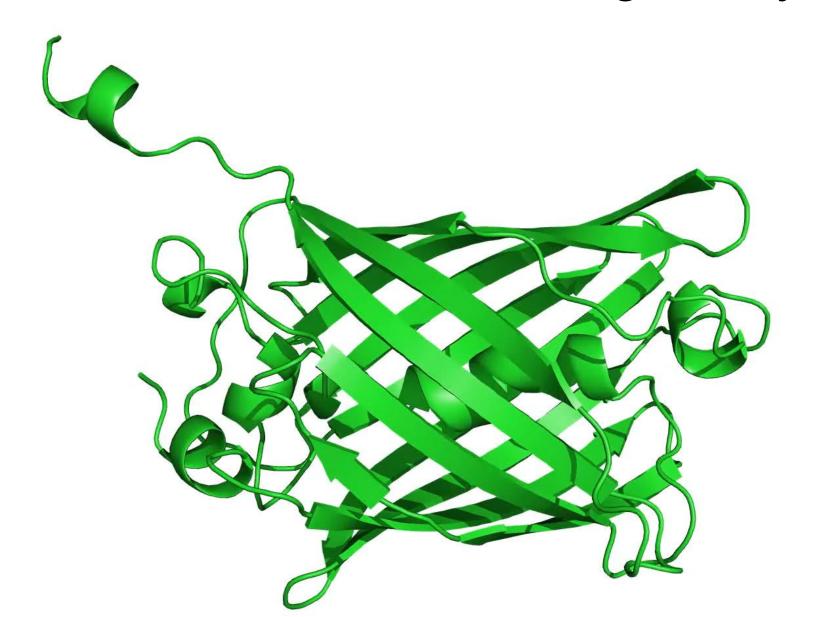


#### IsEM-Pro generates more diverse proteins

#### **Average Diversity on Eight Protein Datasets**



#### Green Fluorescent Protein designed by IsEMPro

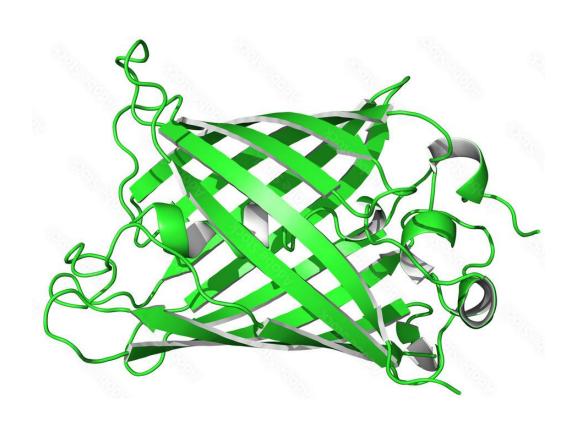


#### Highlights of IsEM-Pro

- Using importance sampling inside the EM is efficient to generate functional proteins
- The combinatorial enhanced latent generative model boosts diverse and novel protein sequences
- The self-learning process helps to find proteins with higher fitness scores

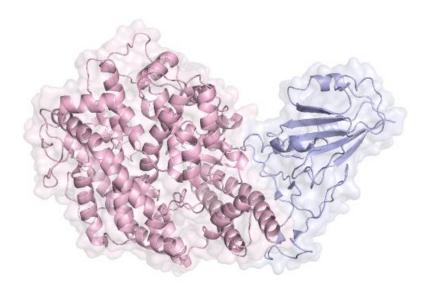
#### Protein Design Approaches

- Sequence-based Generation
- Structure-based Generation
  - Secondary structure-based
  - Inverse Folding
- Surface geometry
- Sequence-Structure Co-design
  - o Protein monomer
  - Protein complex



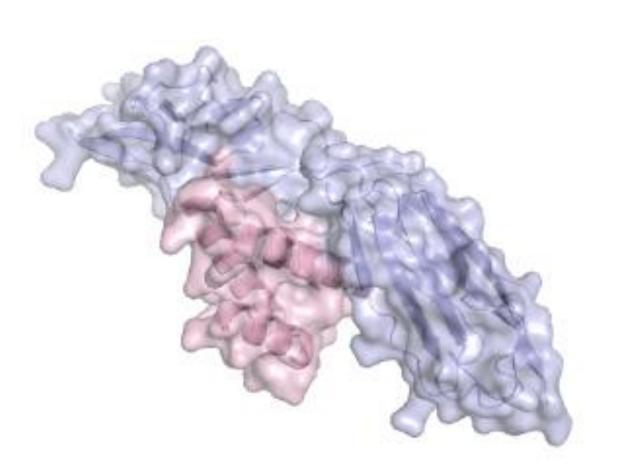
#### Protein-Protein Complex

- A molecular assembly formed when two or more protein molecules interact and bind together
  - o Covid19 Sars-Cov2 ACE2 complex
  - o Biomedicine

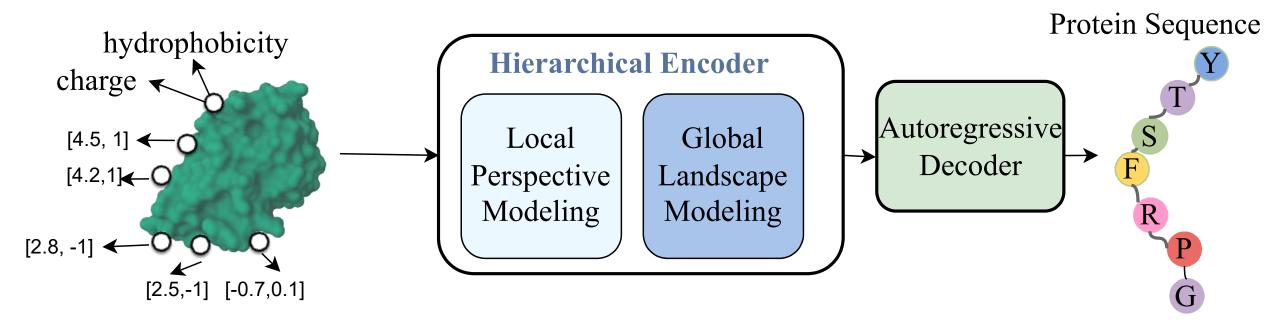


#### Surface-based Protein Design

- Intuition: fill in the content given an outline
- Complementary shapes
- Poorly placed charges, polarity or hydrophobicity prevents molecule binding



### SurfPro Method



#### Protein Surface Construction

- MSMS tool
  - Transform a PDB file into a point cloud → molecule surface
  - Each vertex contains
    - 3D coordinates
    - [hydrophobicity, charge]

From IMGT physicochemical classes

Feature	Description	Value	
hydrophobicity	The hydrophobicity level of a residue, the higher the hydrophobicity, the more hydrophobic the residue	I: 4.5 V: 4.2 L: 3.8 F: 2.8 C: 2.5 M: 1.9 A: 1.8 W: -0.9 G: -0.4 T: -0.7 S: -0.8 Y: -1.3 P: -1.6 H: -3.2 N: -3.5 D: -3.5 Q: -3.5 E: -3.5 K: -3.9 R: -4.5	
charge	The charge value of a residue	R: 1 K: 1 D: -1 E: -1 H: 0.1 Others: 0	}

#### **Surface Construction**

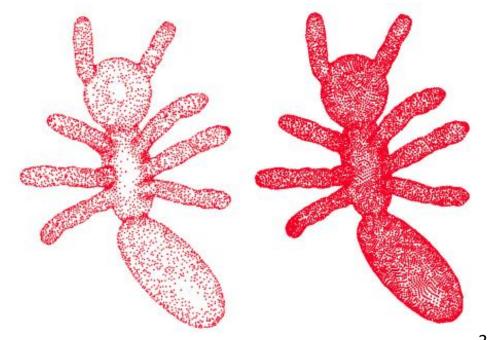
- Surface smoothing 

   Compression using octree
  - Gaussian kernel smoothing higher expressiveness

$$x_i' = \sum_{x_j \in N(x_i)} \frac{\kappa(x_i, x_j) x_i}{\sum_{x_t \in N(x_i)} \kappa(x_i, x_t)}, \qquad \kappa(x, y) = e^{-\frac{(x - y)^2}{\eta}}$$

$$\kappa(x,y) = e^{-\frac{(x-y)^2}{\eta}}$$





Song, Huang, Li, Jin, SurfPro: Functional Protein Design Based on Continuous Surface, ICML 2024.

#### Hierarchical Encoder: Local Perspective Modelling

- K-nearest equivariant graph convolutional layers
  - Local Message

$$m_{ij} = SiLU(\phi_e([h_i^l; h_j^l; ||x_i' - x_j'||_2]))$$

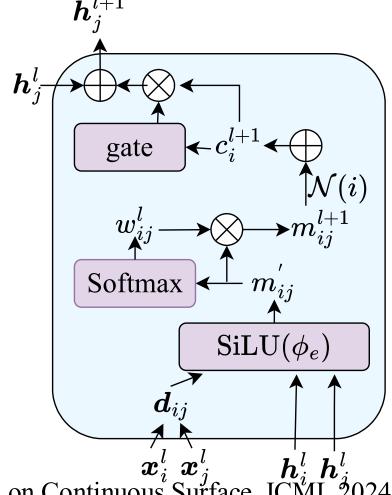
$$w_{ij}^l = \frac{\exp(W_s^l m_{ij}' + b_s^l)}{\sum_{k \in N(x_i)} \exp(W_s^l m_{ik}' + b_s^l)}$$

$$m_{ij}^{l+1} = w_{ij}^l * m_{ij}'$$

Vertex feature representation

$$c_i^{l+1} = \sum_{j \in N(x_i)} m_{ij}^{l+1}$$

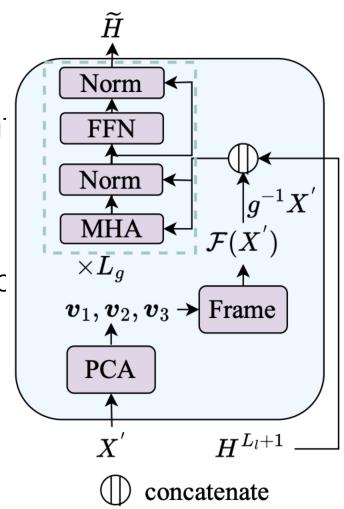
$$h_i^{l+1} = h_i^l + gate(c_i^{l+1}) \odot c_i^{l+1}$$



Song, Huang, Li, Jin. SurfPro: Functional Protein Design Based on Continuous Surface. ICML 2024.

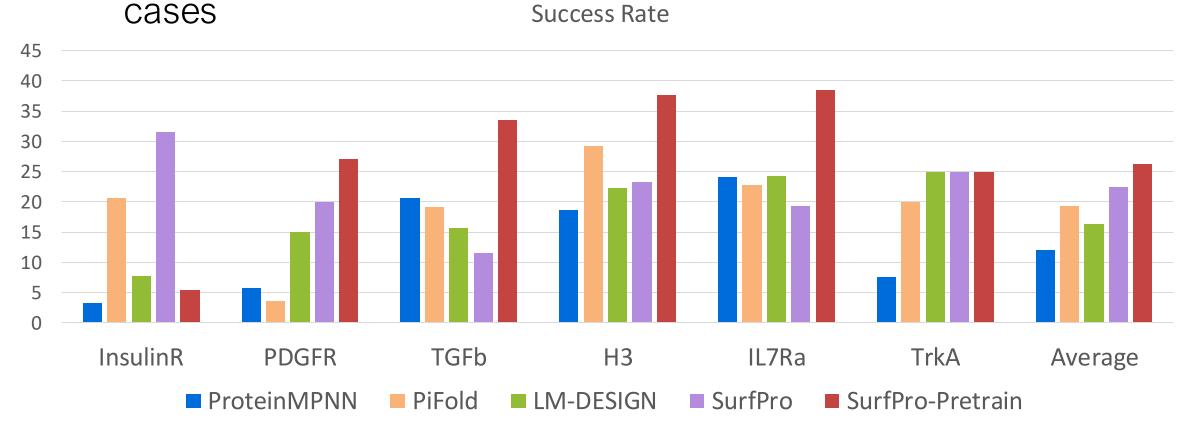
#### Hierarchical Encoder: Global Landscape Modelling

- Frame Calculation
  - Point cloud X PCA three principle componen
    - Map a 3D molecule into the 8 coordinate systems  $F(X') = \{([\alpha_1v_1,\alpha_2v_2,\alpha_3v_3],t) | \alpha_i \in \{-1,+1\}\}$
    - Average the representations across 8 frames
    - Equal to any translation + Rotation operation theoretic
- Global Landscape Modeling MHA
- Autoregressive decoder
  - Maximum likelihood optimization

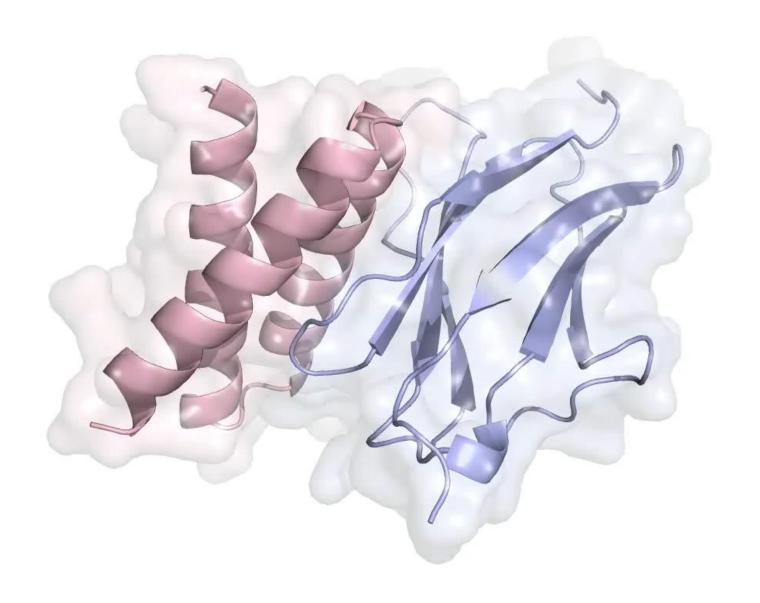


#### SurfPro generates more successful binders

- Six target proteins
  - Three are used as supervised cases; three are used as zero-shot



Song, Huang, Li, Jin, SurfPro: Functional Protein Design Based on Continuous Surface, ICML 2024.



### Highlights of SurfPro

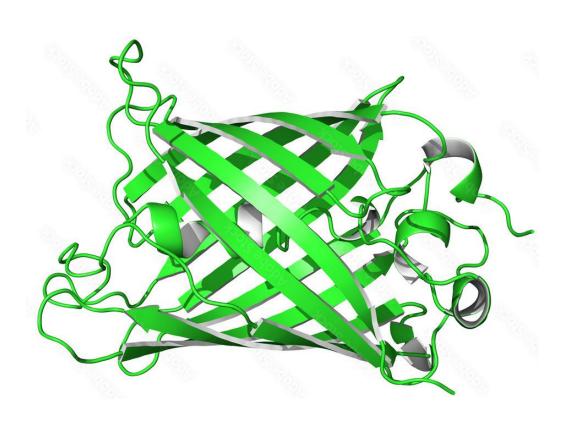
- Designing proteins based on
  - o surface geometry
  - o chemical property on the surface
- Effective in Binder-design, inverse-folding, and enzyme design tasks

#### Protein Design Approaches

- Sequence-based Generation
- Structure-based Generation
  - Secondary structure-based
  - Inverse Folding
  - Surface geometry

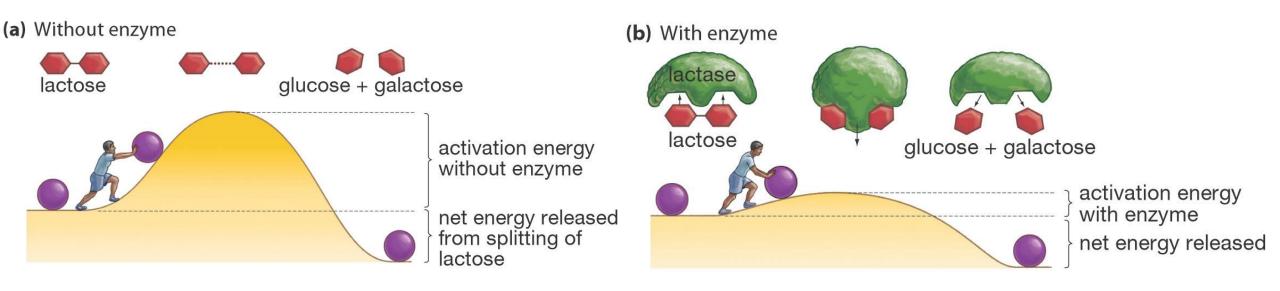


- Sequence-Structure Co-design
  - o Protein monomer
  - Protein complex



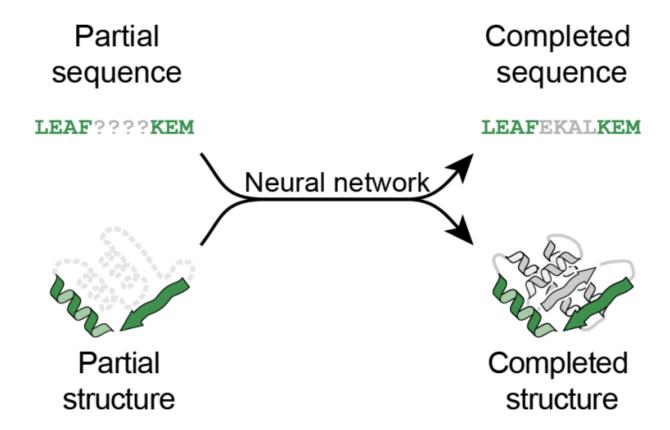
#### Enzyme

- biological catalyst to accelerate chemical reactions
  - o Enzymes reduce a reaction's activation energy



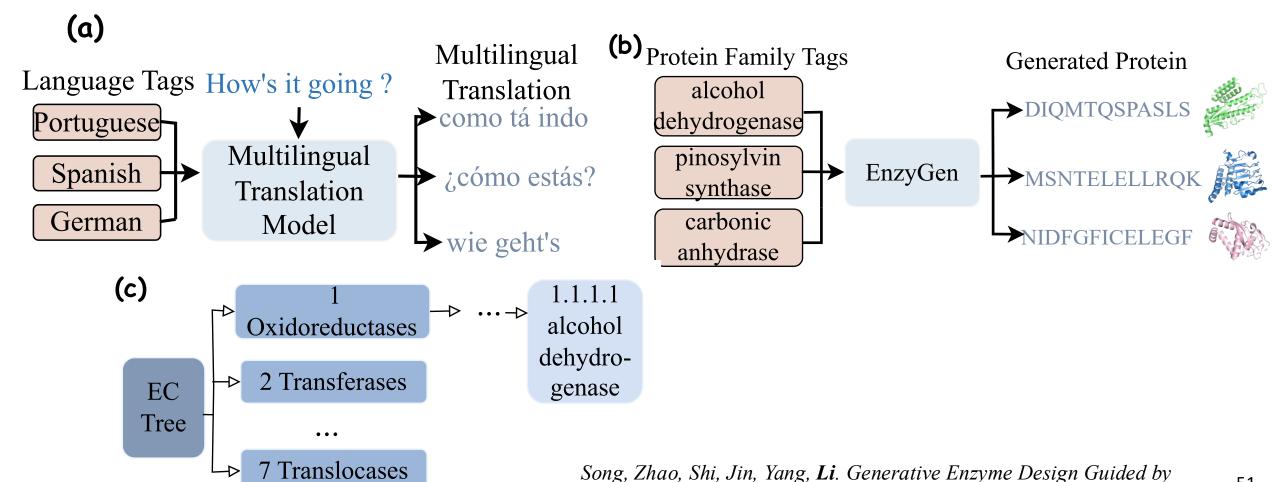
#### Motivation 1: How to design desired enzymes?

- Functional Important Sites (Motif)
  - Active sites Binding to substrates



#### Motivation 2: How to design desired enzymes?

Enzyme classification tree indicates enzymatic reaction type

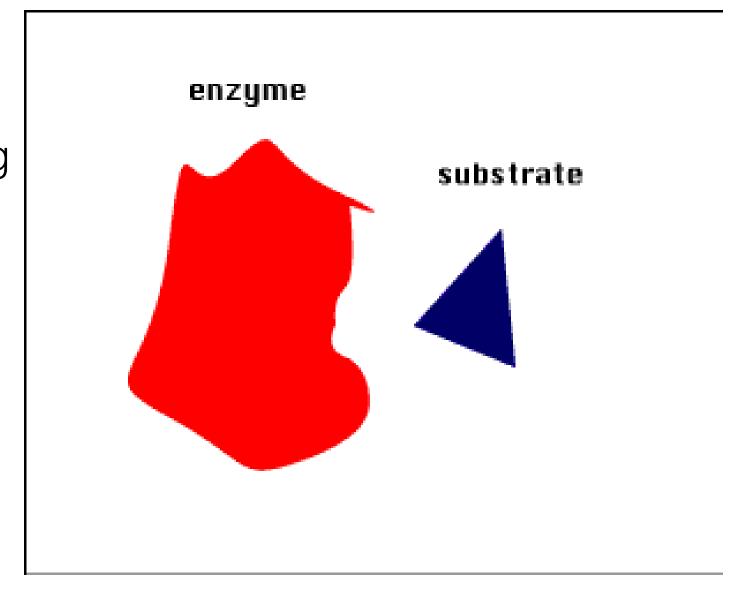


Functionally Important Sites and Small-Molecule Substrates. ICML 2024.

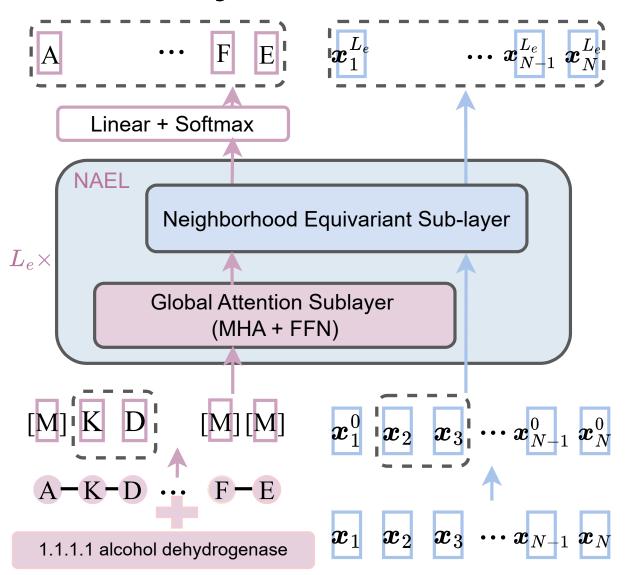
#### Motivation 3: How to design desired enzymes?

Substrate Specificity:

Different enzymes binding to specific substrates to speedup enzymatic reactions

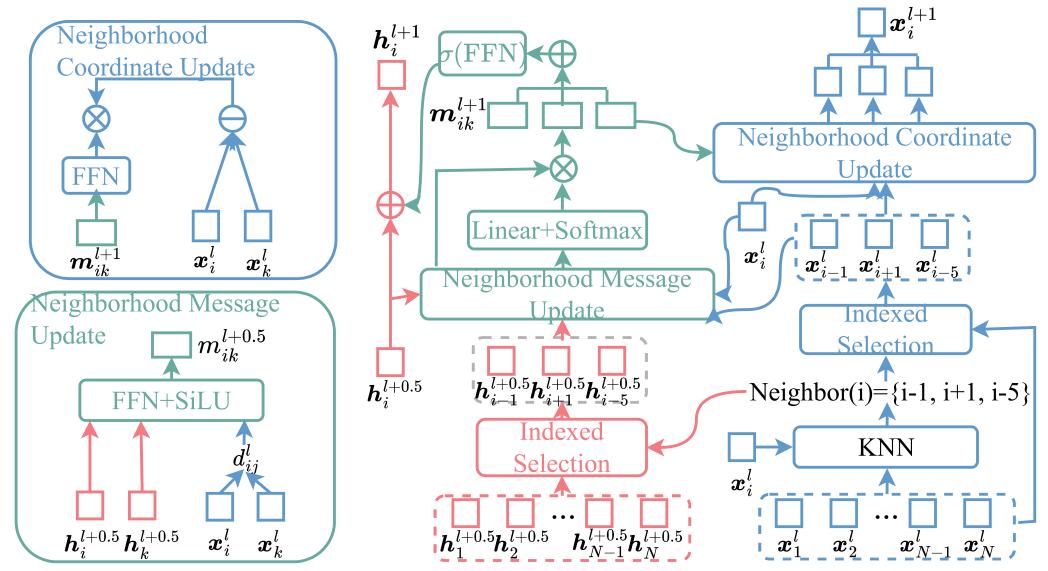


#### EnzyGen Model – NAEL backbone

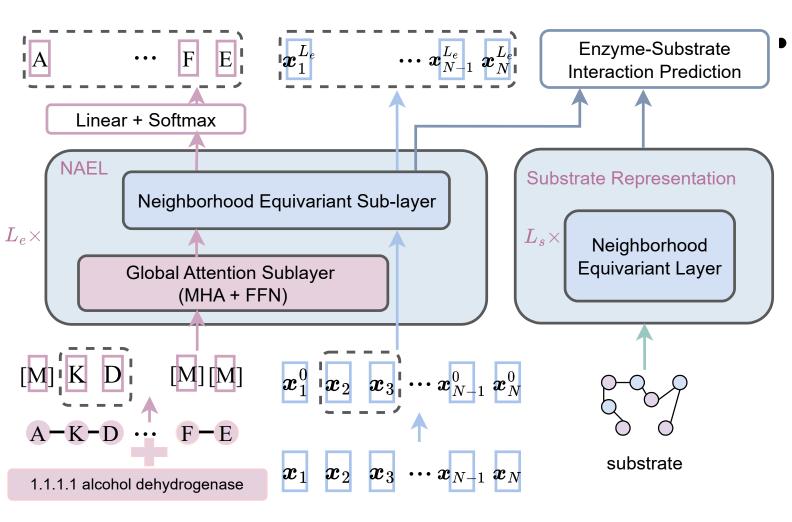


- Controllable Design
  - Functional Sites
  - Enzyme family category

## Neighborhood Attentive Equivariant Layer

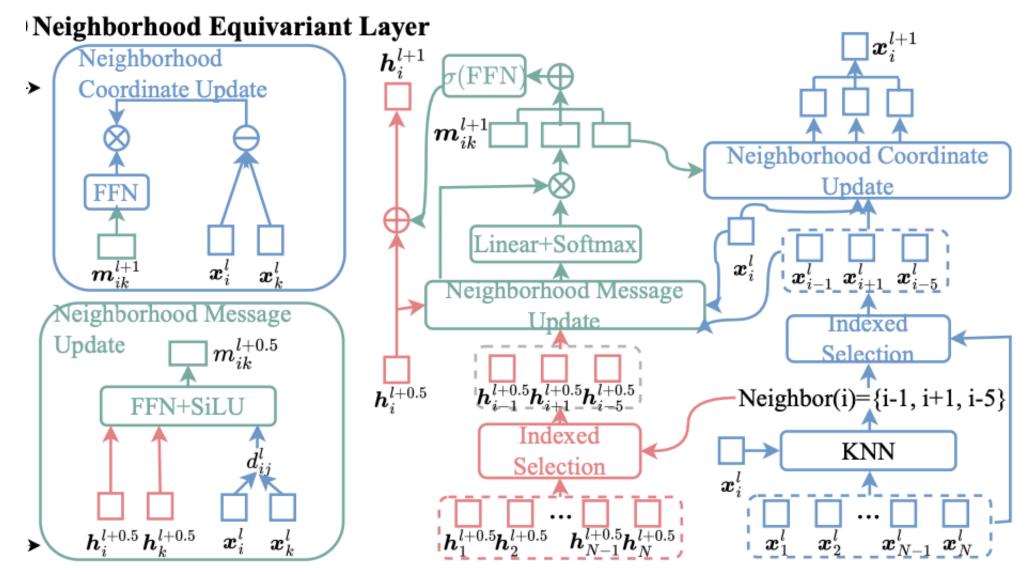


## EnzyGen Learning



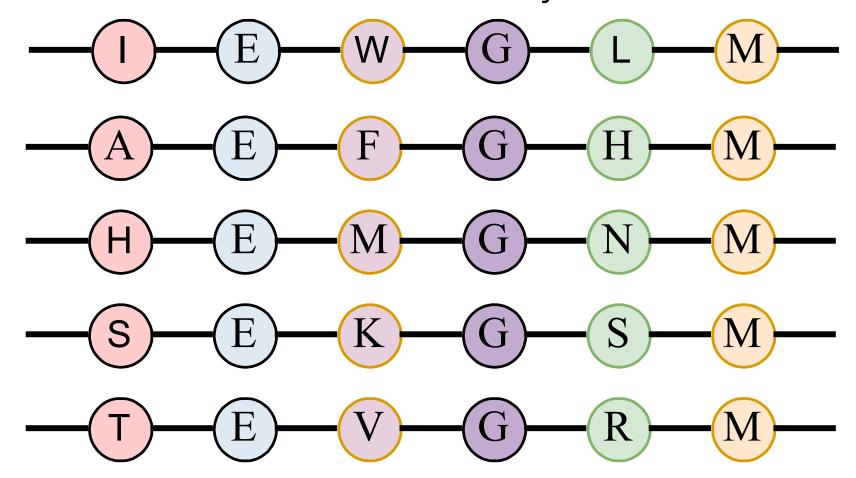
- Training Objective
  - Predict whole protein sequence
  - Predict whole structure
  - Predict enzyme-substrate binding

### Neighborhood Attentive Equivariant Layer (NAEL)



## **Functional Site Discovery**

mining common sites within one family

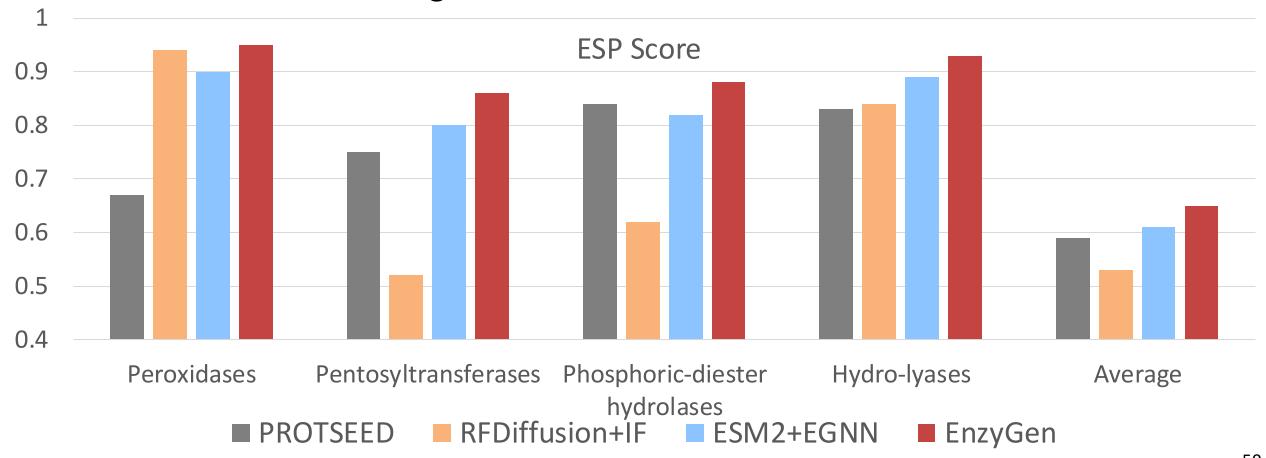


## EnzyBench Dataset

- Extracted from BRENDA
  - 8422 fourth-level enzyme classes (enzymatic reaction types)
- Selected PDB entries: 101974
  - 3157 fourth-level enzyme classes
  - o discover functional sites for each class
  - Merging into third-level categories: 256
  - 30 largest categories
    - Split 50 for validation & 50 for testing

#### EnzyGen generates enzymes with higher function scores

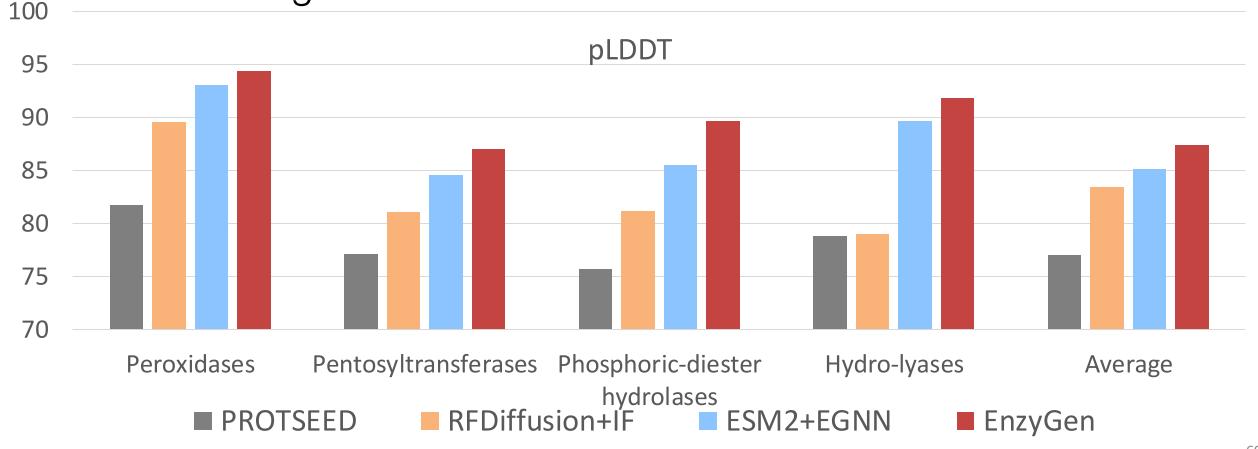
EnzyGen achieves higher enzyme-substrate interaction score in 20 out of 30 categories



Song, Zhao, Shi, Jin, Yang, Li. Generative Enzyme Design Guided by Functionally Important Sites and Small-Molecule Substrates. ICML 2024.

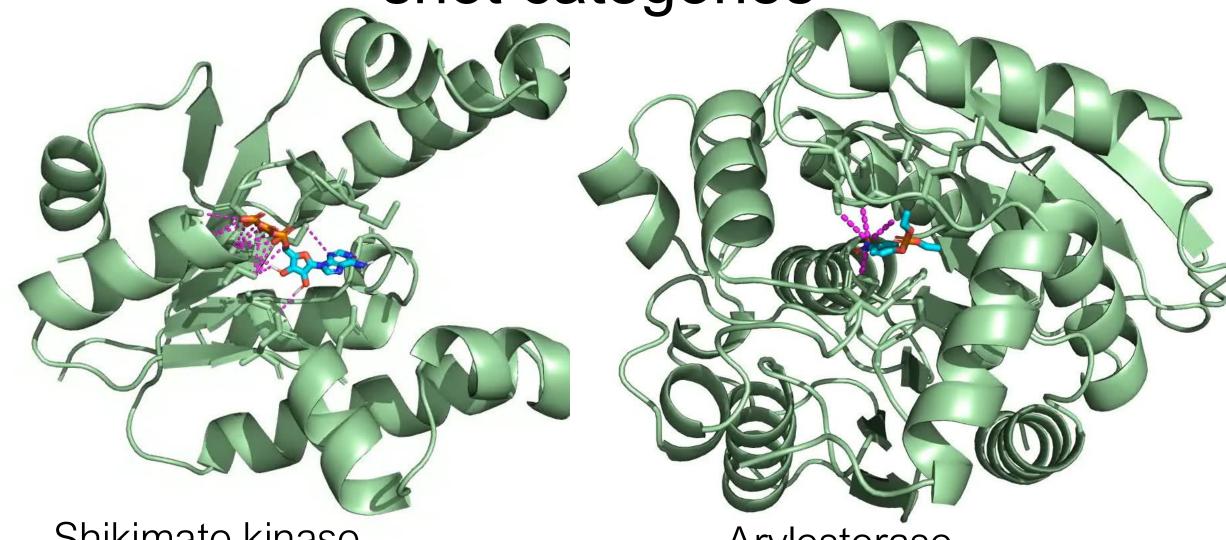
#### EnzyGen generates enzymes with more stable structures

Average pLDDT across 30 categories is higher than suggested stable folding threshold - 80



Song, Zhao, Shi, Jin, Yang, Li. Generative Enzyme Design Guided by Functionally Important Sites and Small-Molecule Substrates. ICML 2024. 60

EnzyGen designs "good" enzymes in zeroshot categories



Shikimate kinase (ATP:shikimate 3-phosphotransferase)

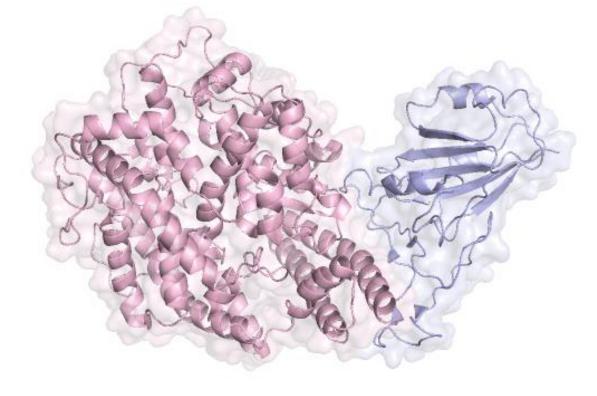
Arylesterase (substrate paraoxon)

## Highlights of EnzyGen

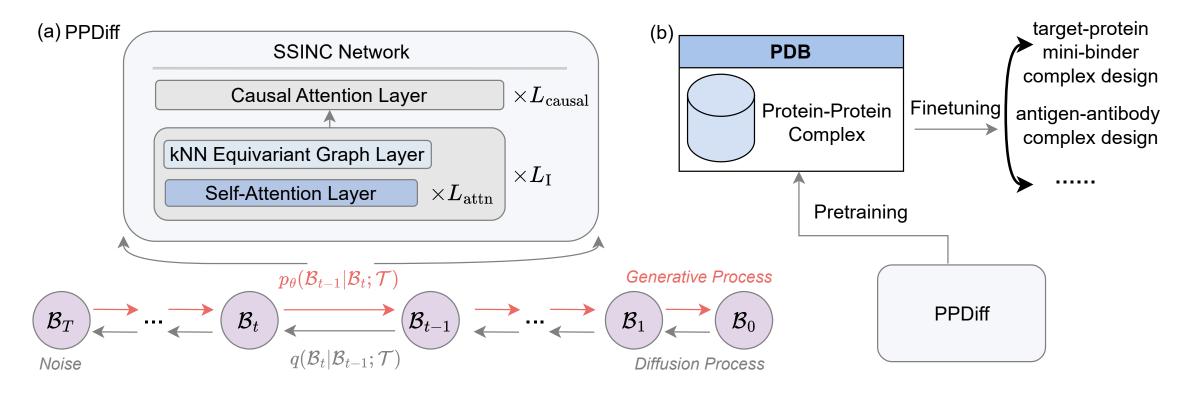
- A unified model for 3k enzyme families
- Guided Generation
  - Functional Important Sites, automatically mined from PDB
  - Enzymy category tags (BRENDA)
- Sequence and Structure Co-design
  - Neighborhood Attentive Equivariant Layer
- Trained takes substrate binding into consideration

## Protein-Protein Complex Generation

Sequence-structure co-design in iterative refinement procedure



#### **PPDiff**



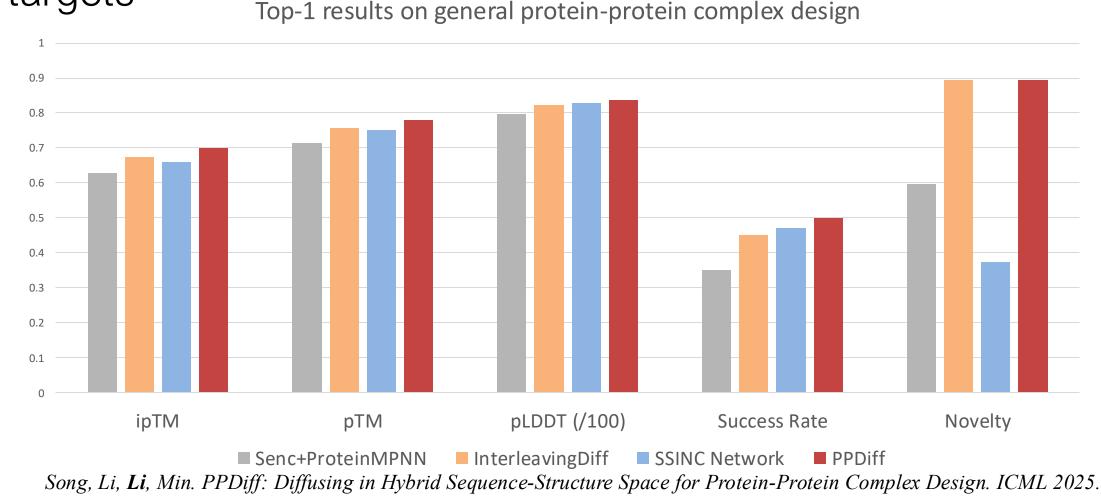
- Diffusing in hybrid space
  - Discrete sequence diffusion
  - Continuous structure diffusion

- SSINC Network
  - Interleaving network (NAEL)
  - Casual attention layers

#### PPDiff generates novel binders with higher success rate

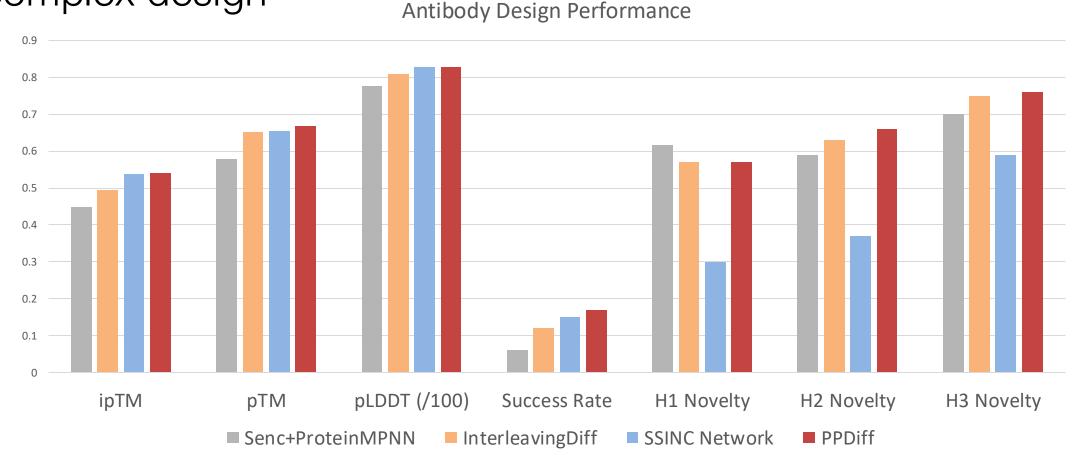
PPDiff achieves 50% success rate across diverse protein targets

Top 1 results on general protein protein complex design



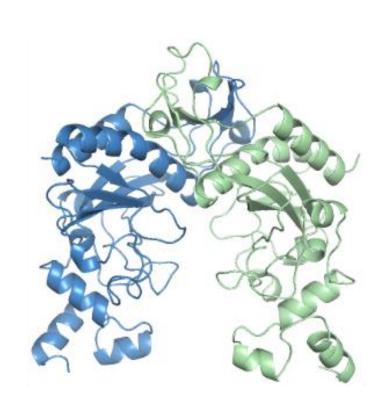
#### PPDiff generates novel antibodies with higher success rate

PPDiff achieves 16.89% success rate on antigen-antbody complex design



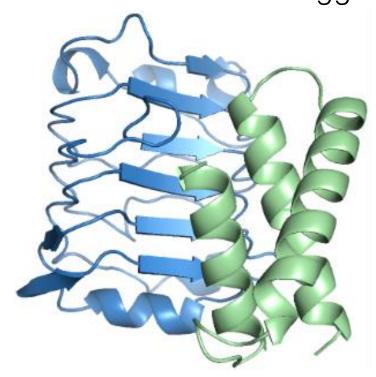
Song, Li, Li, Min. PPDiff: Diffusing in Hybrid Sequence-Structure Space for Protein-Protein Complex Design. ICML 2025.

# PPDiff designs high-affinity binders/antibody across diverse target proteins

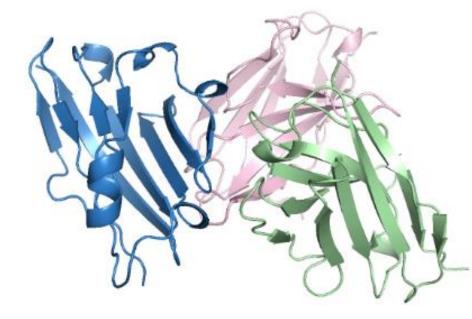


ipTM=0.89, pLDDT=90.12, pTM=0.88, Novelty=77%

influenza A H3 haemagglutinin



ipTM=0.85, pLDDT=87.21, pTM=0.87, Novelty=92%



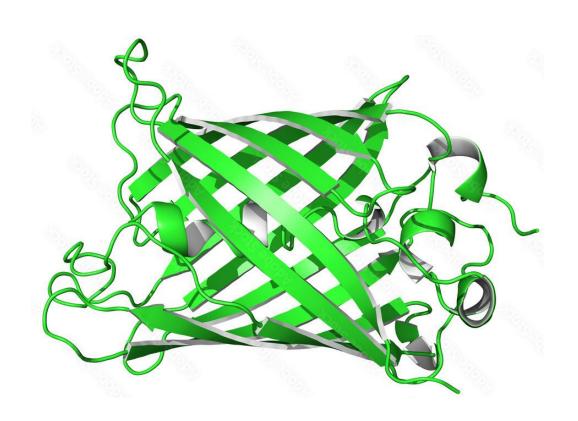
ipTM=0.83, pLDDT=90.80, pTM=0.87, CDRH3 novelty=55%

## Highlights of PPDiff

- A unified model for protein complex sequence-structure codesign
- Diffusion in hybrid space
  - o Discrete sequence diffusion
  - Continuous structure diffusion
- Performs well in wide applications
  - Generation protein-protein complex design
  - Target protein-mini binder complex design
  - Antigen-antibody complex design

## Protein Design Approaches

- Sequence-based Generation
- Structure-based Generation
  - Secondary structure-based
  - Inverse Folding
  - Surface geometry
- Sequence-Structure Co-design
  - o Protein monomer
  - Protein complex



## Takeaway of Protein Design

- Problem formulation: Guiding information is important o fitness scores, chemical properties, tags, motifs
- Modelling Structure/Geometry is critical for molecules Keeping SE(3) equivariance implicitly augments training data
- Modeling the mutual constraints between sequence and structure is useful
- Interaction between protein-ligand complex
- Diffusion method to further iteratively refine: discrete+continuous,

Commonality and Distinction in Generating Language and Molecules

Distribution	Sequence	BERT, GPT	ESM, ProGen
	2D Structure	Tree-LSTM	MPNN
	3D Geometry		EGNN, EnzyGen [ICML24], SurfPro [ICML24], PPDiff
Generation	Score- guided	C-VAE	IsEMPro [ICML 23]

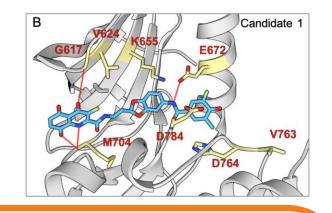
MARS [ICLR21],

CGMH[AAAI19] Editing

## Molecule Design at CMU Li lab



https://leililab.github.io/



Protein
EnzyGen SurfPro
IsEMPro LSSAMP
PPDiff

Small Molecule

MARS MolEdit3D

RLHEX